



Control-Flow Refinement for Complexity Analysis of Probabilistic Programs in KoAT

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Nils Lommen, Éléanore Meyer, and Jürgen Giesl

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- ▶ **Time** bound: $\max(u, u) = u$

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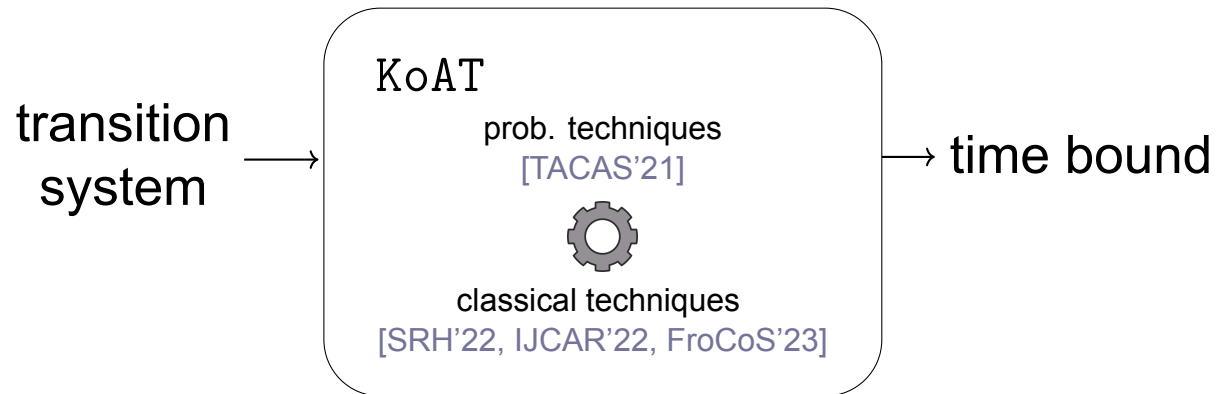
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- ▶ **Expected time** bound: $\max(2 \cdot u, u) = 2 \cdot u$
- ▶ Independent subsystem in modular complexity analysis tool KoAT

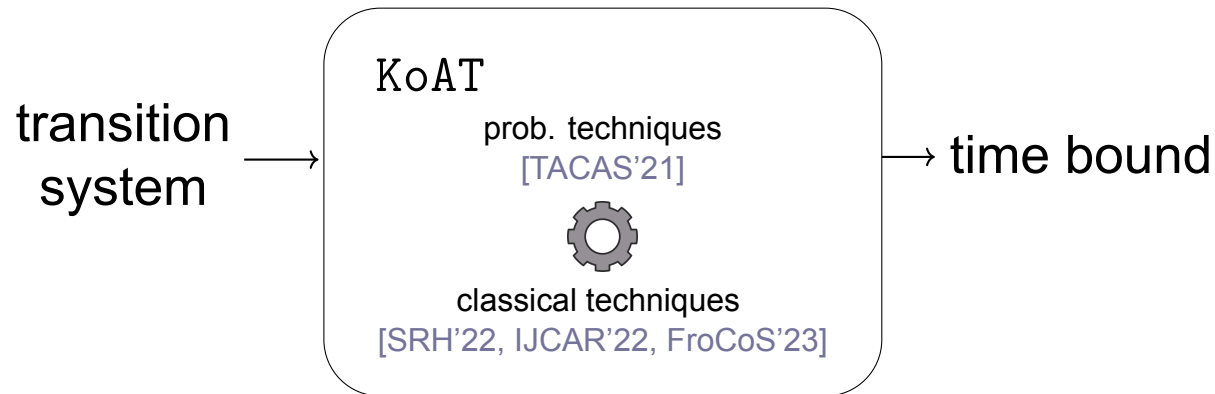
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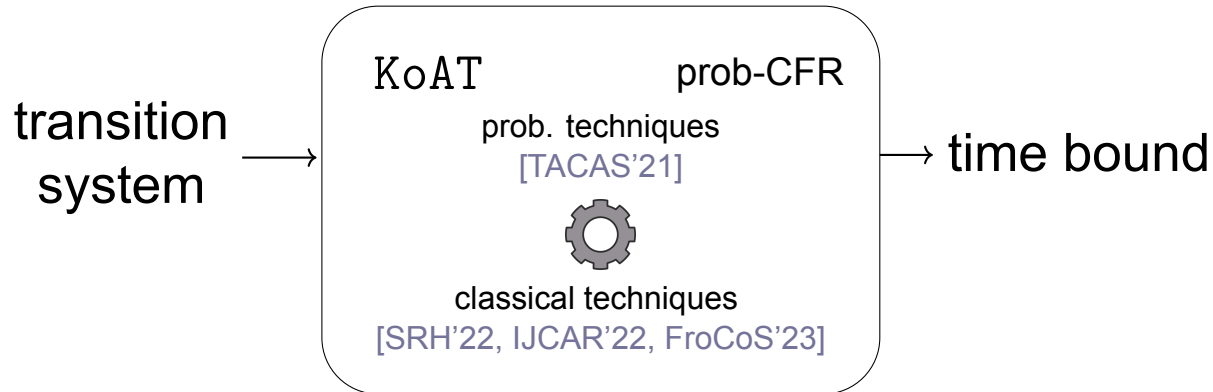
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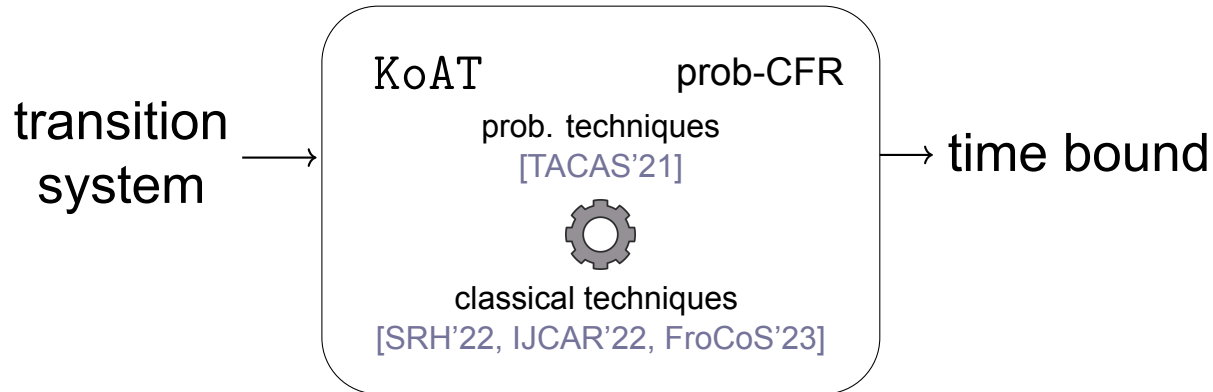


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- ▶ Integrate *probabilistic* (modular) control-flow refinement

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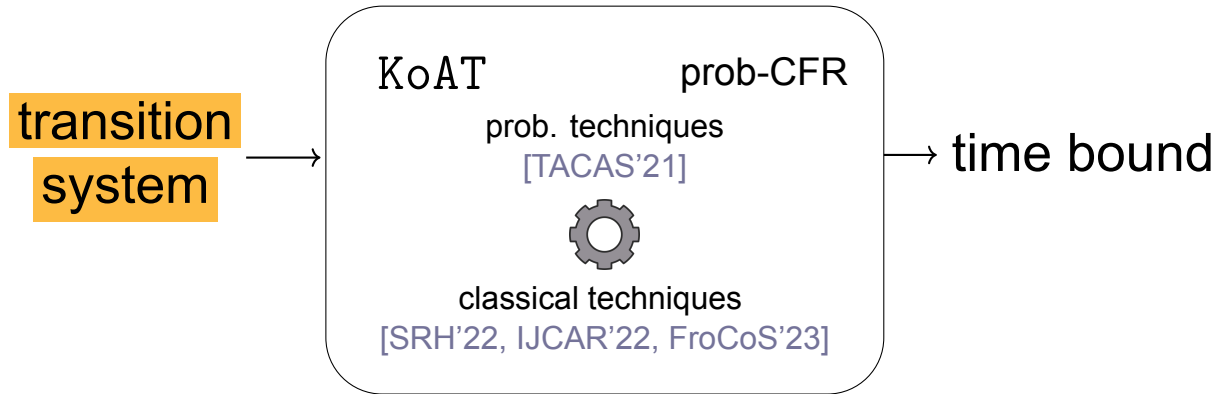


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Modelling Programs as (Integer) Transition Systems

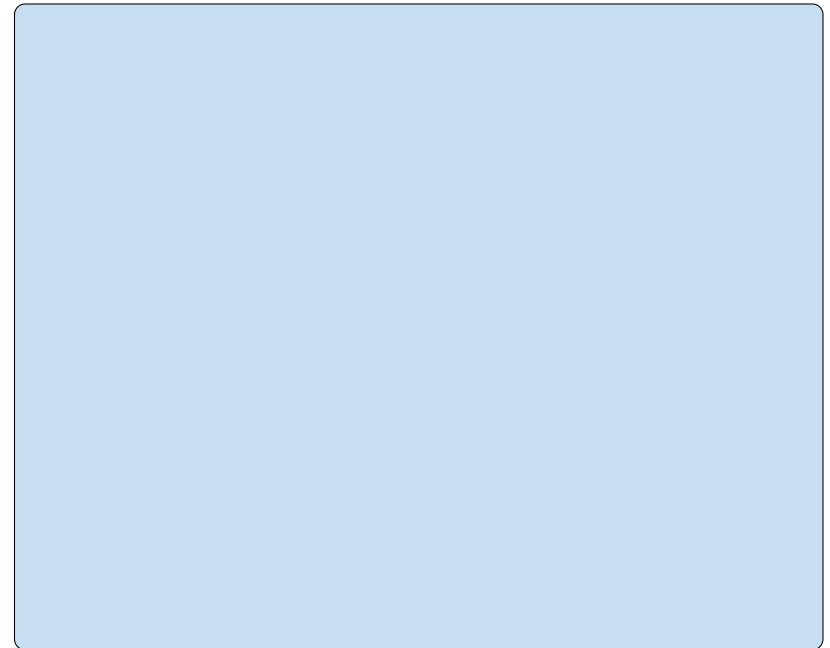
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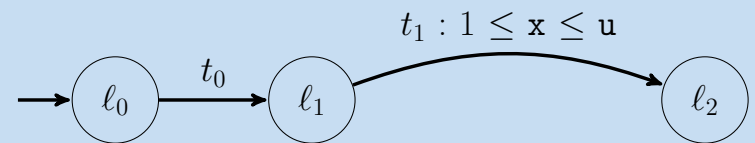
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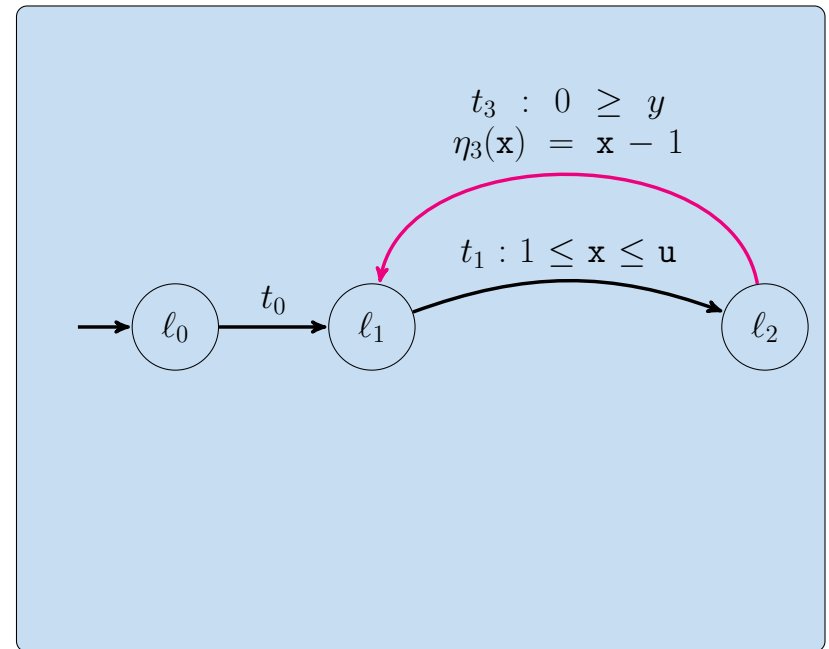


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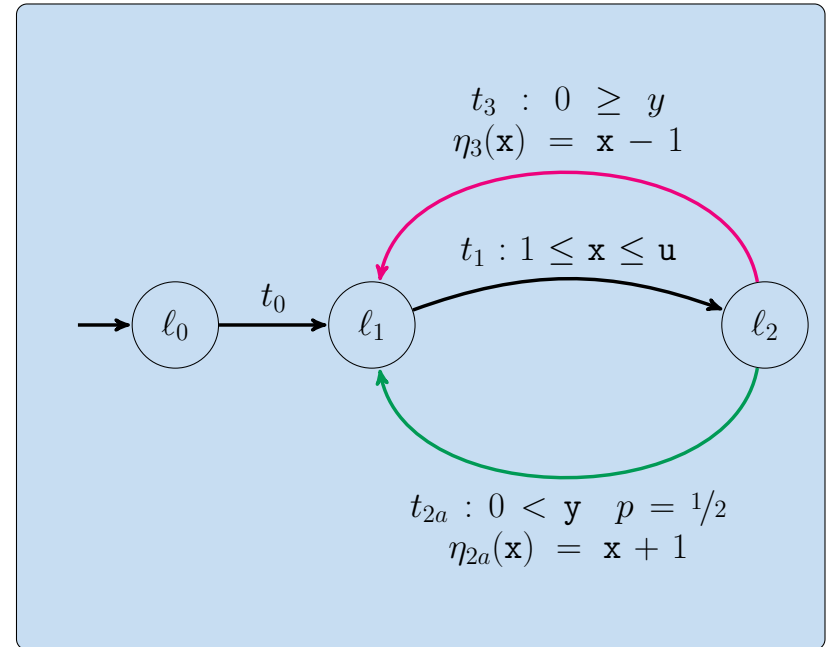


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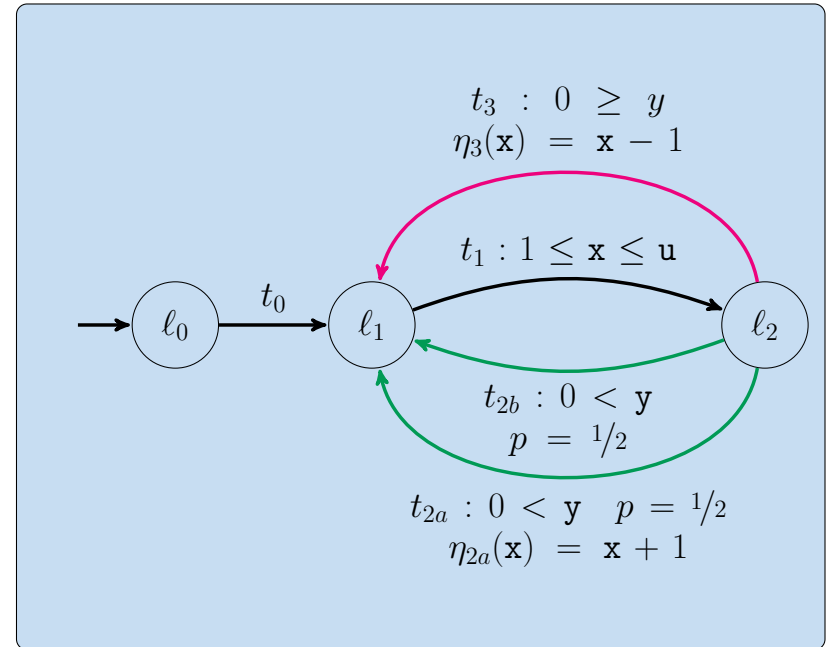


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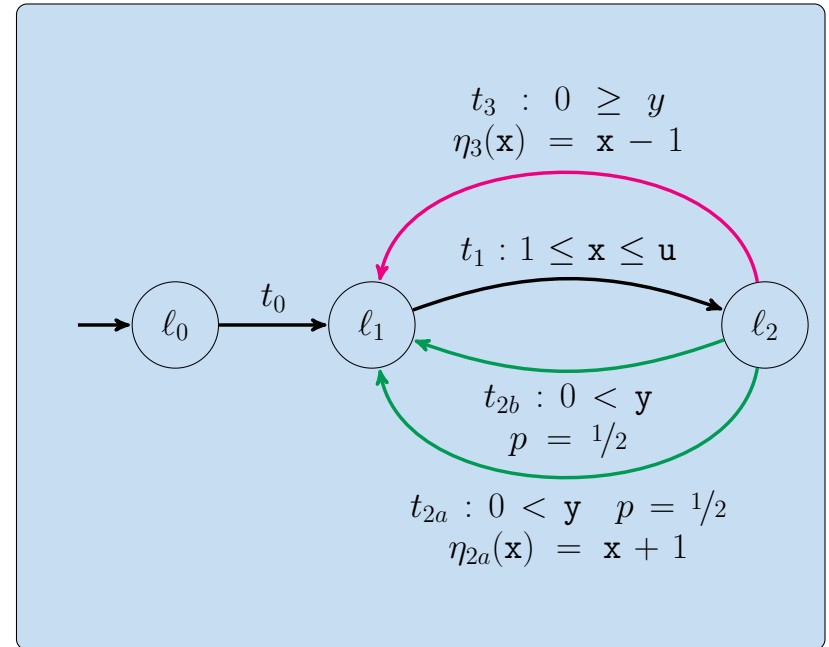


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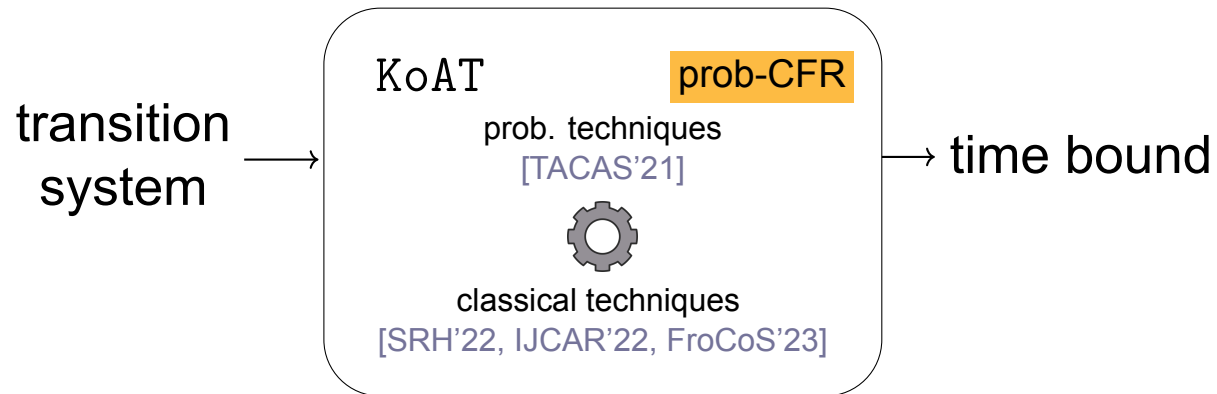
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- ▶ Goal of CFR: Separate strongly connected components

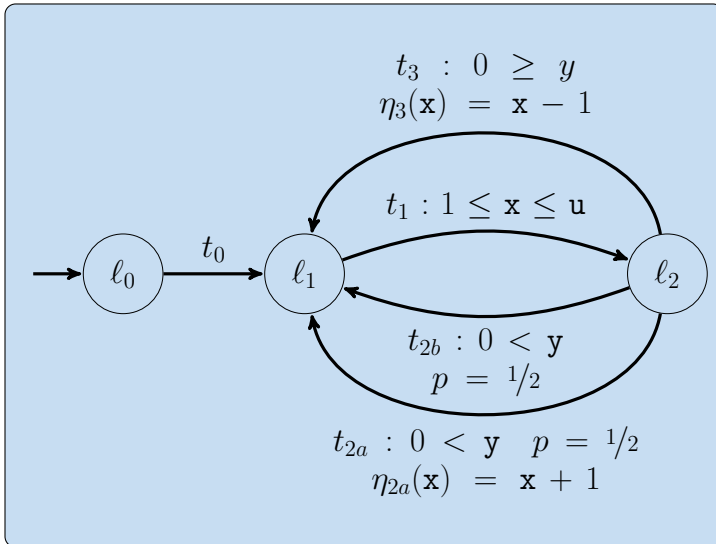
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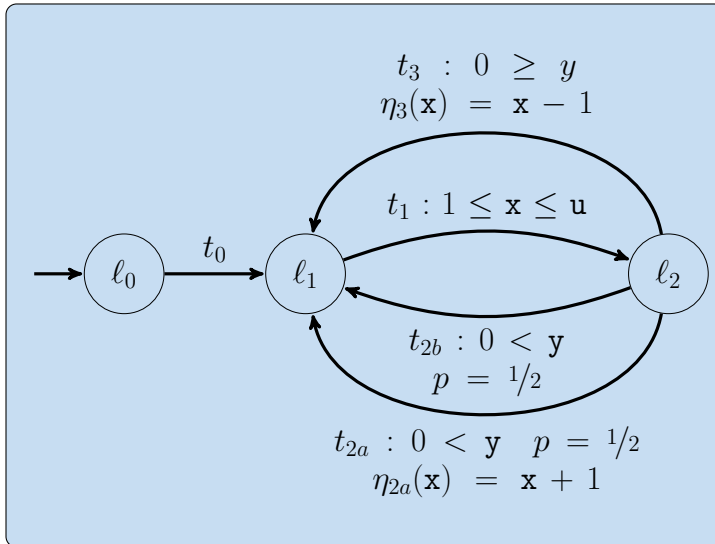
CFR via Partial Evaluation

Idea: Use **guards** and **updates** to generate refined locations



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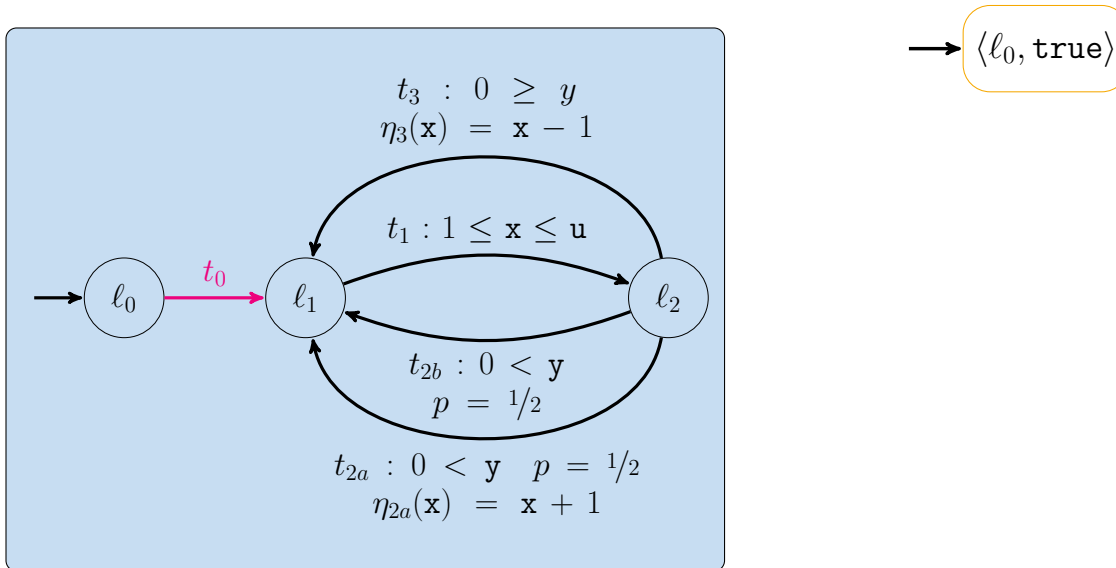
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→ $\langle \ell_0, \text{true} \rangle$

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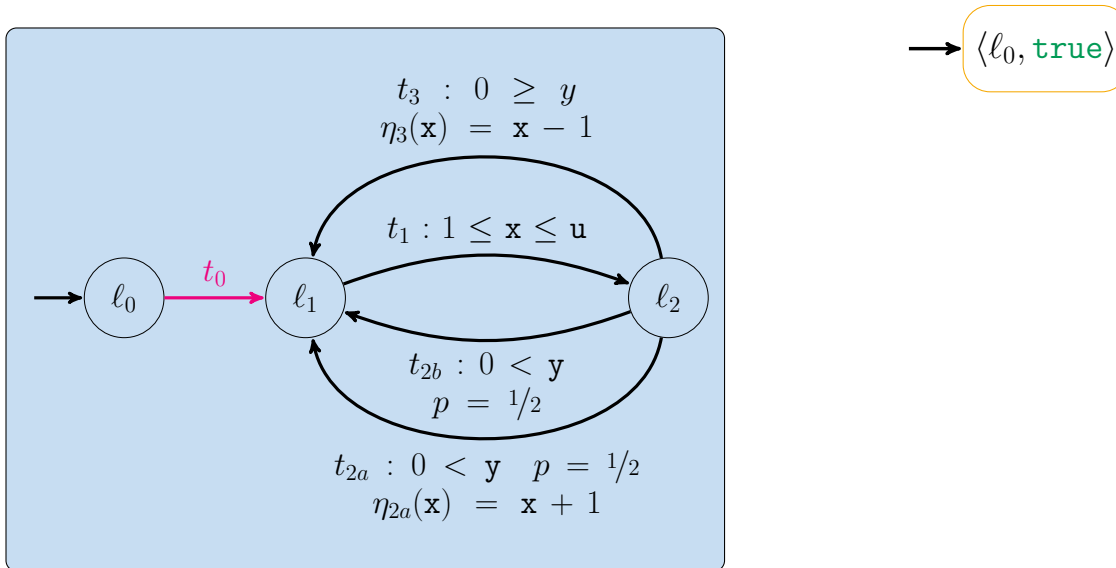
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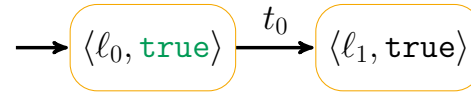
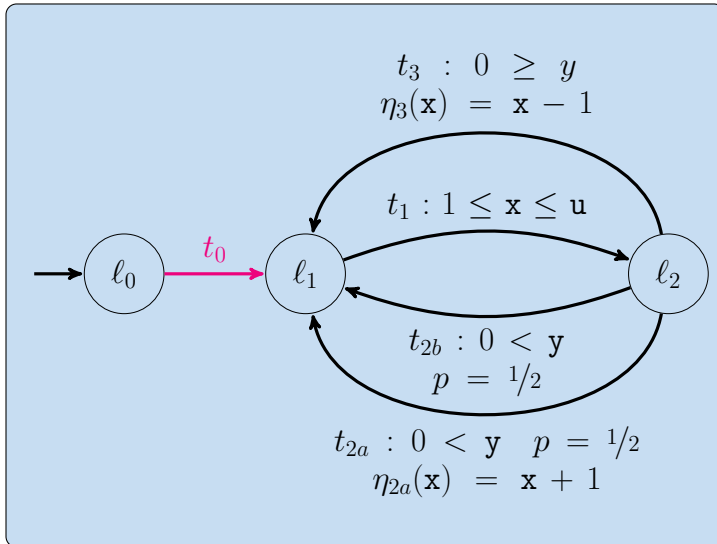


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guard	pre. label
true	true
update	
\longrightarrow	
true	

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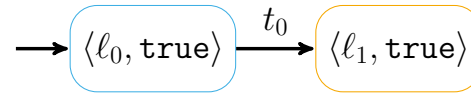
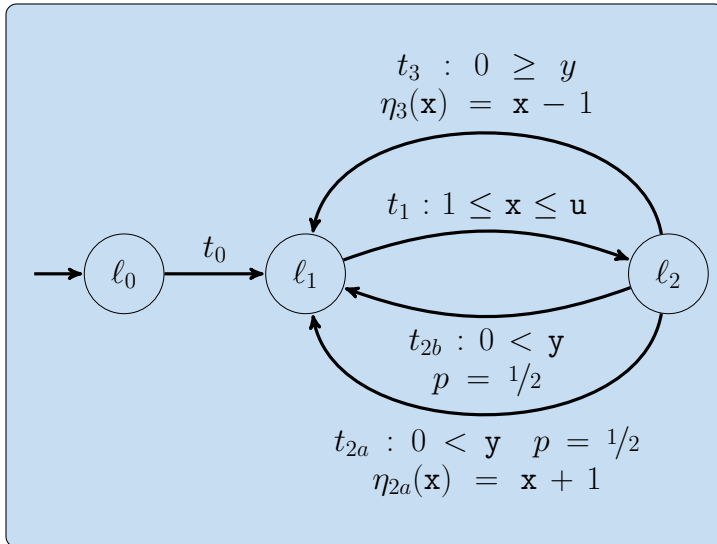


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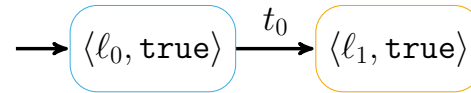
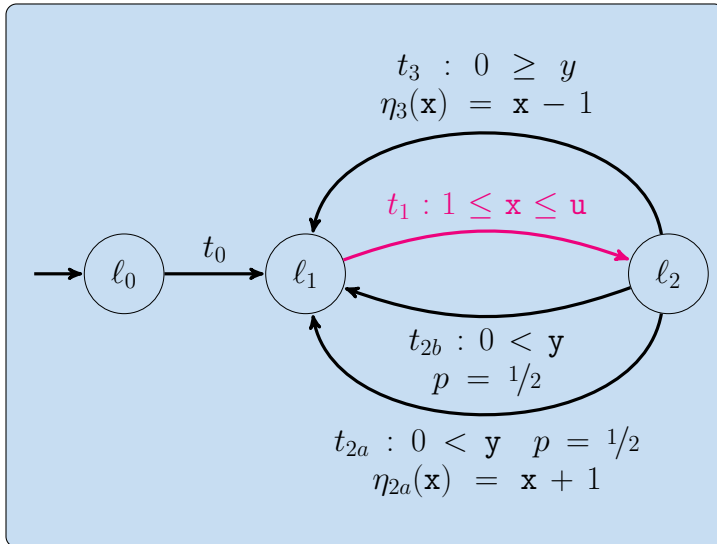
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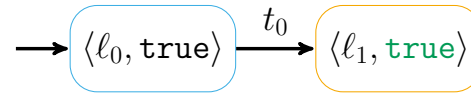
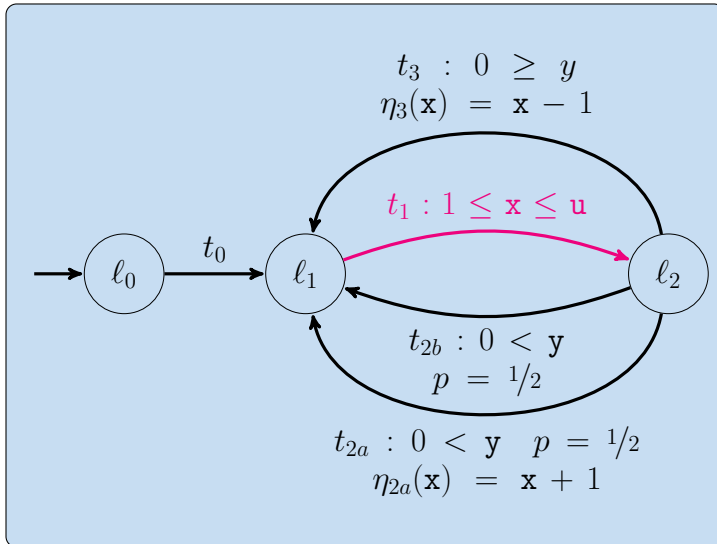
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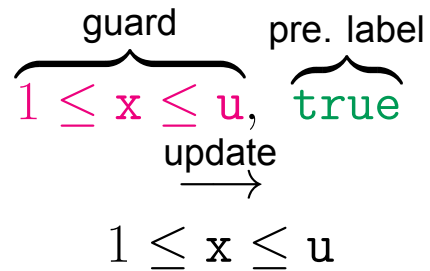
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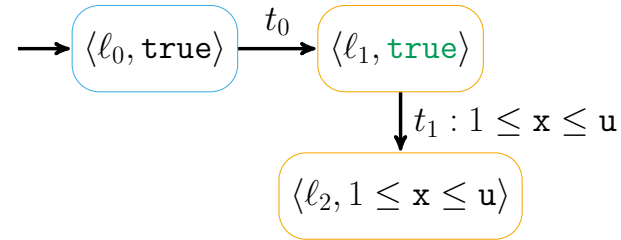
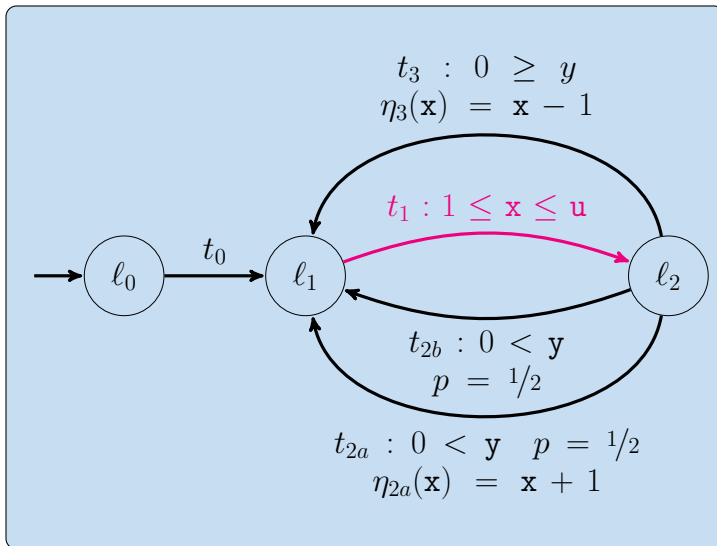


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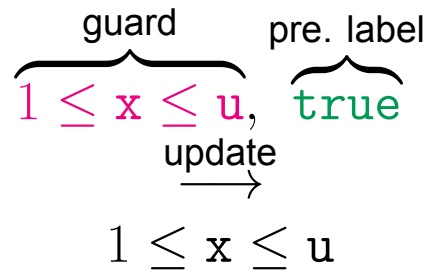


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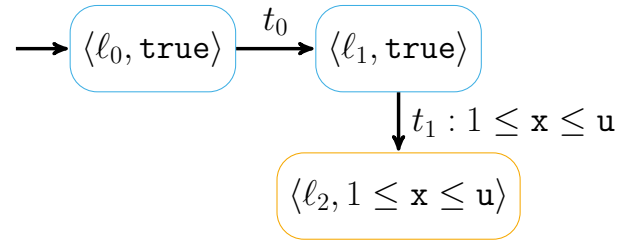
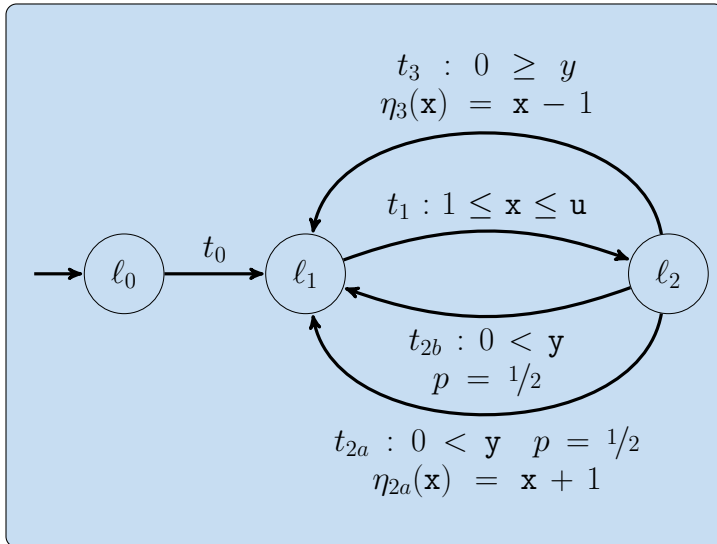


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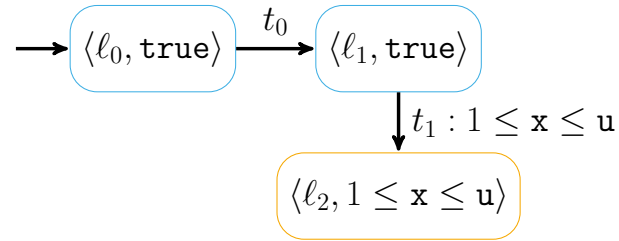
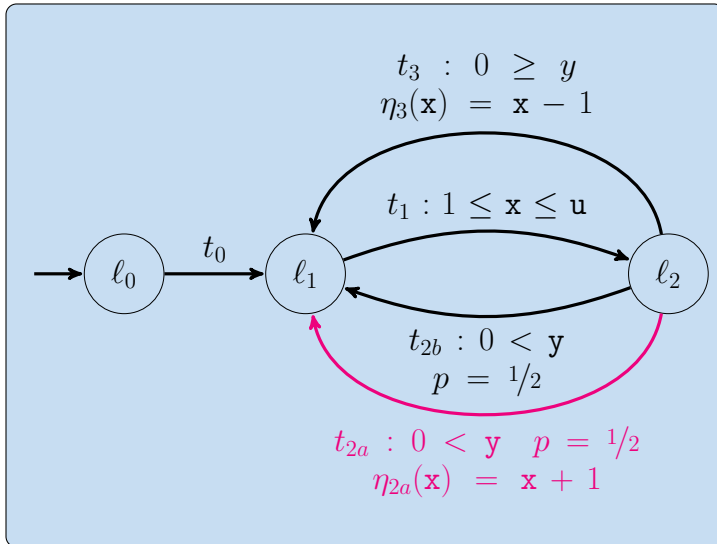
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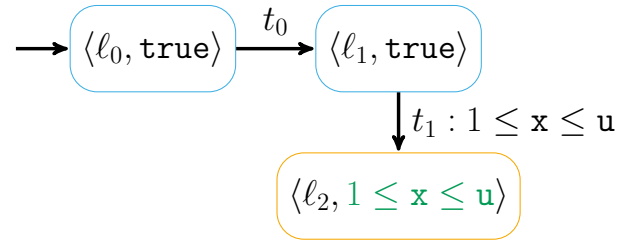
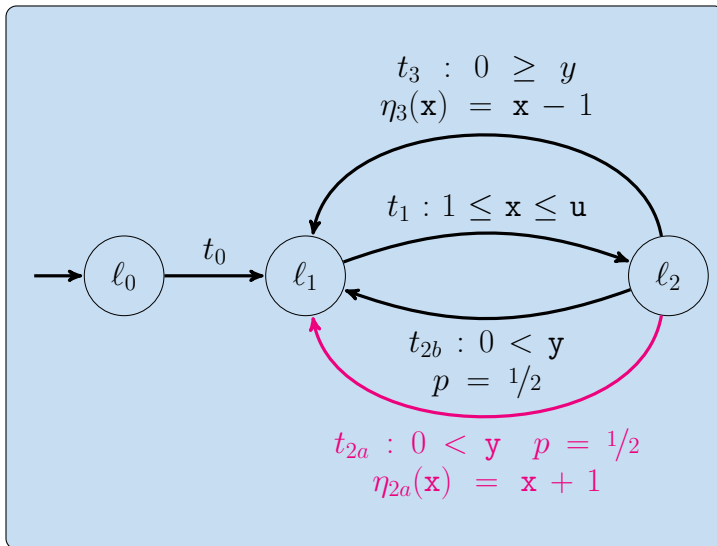
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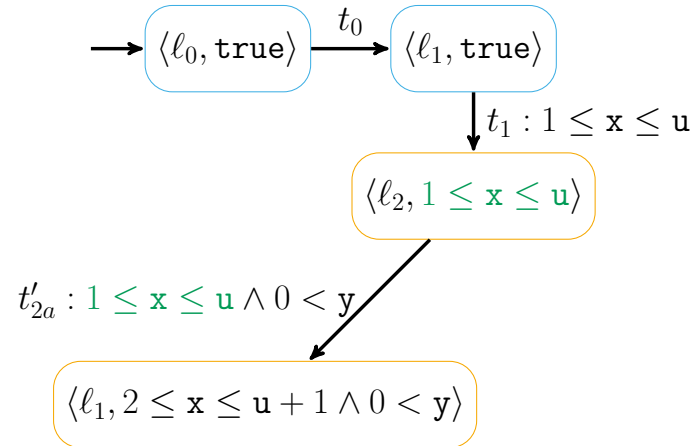
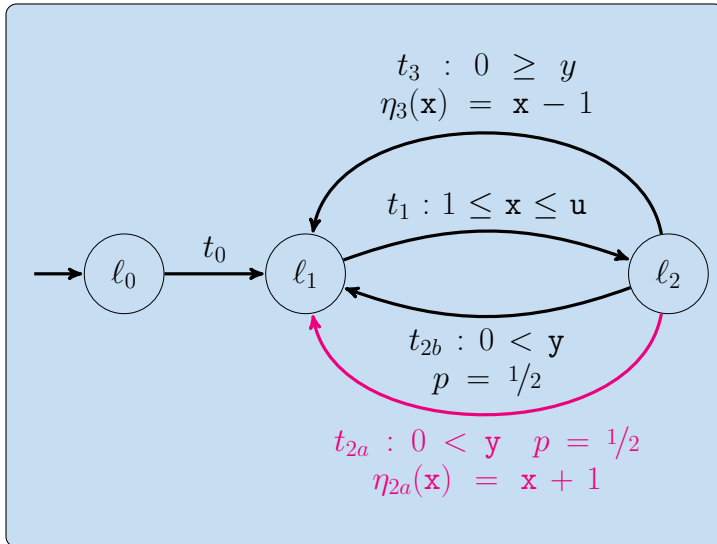
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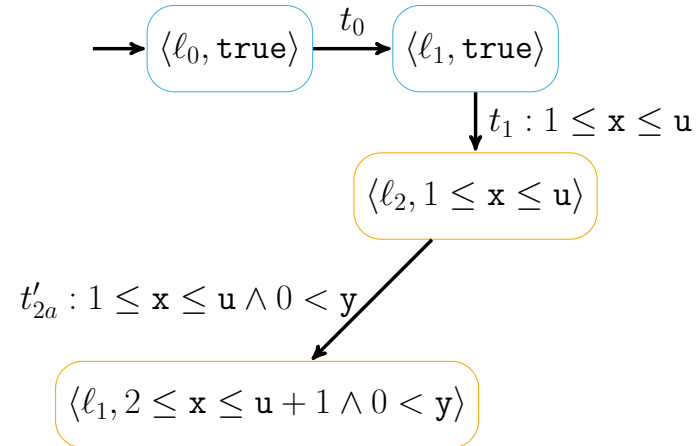
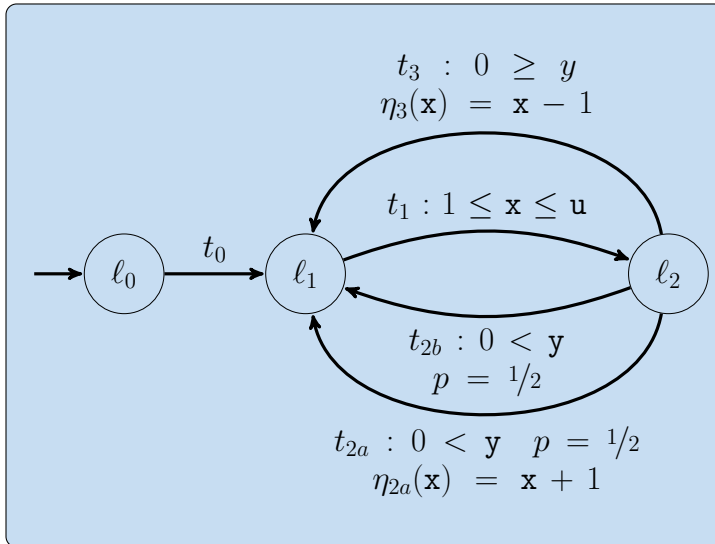
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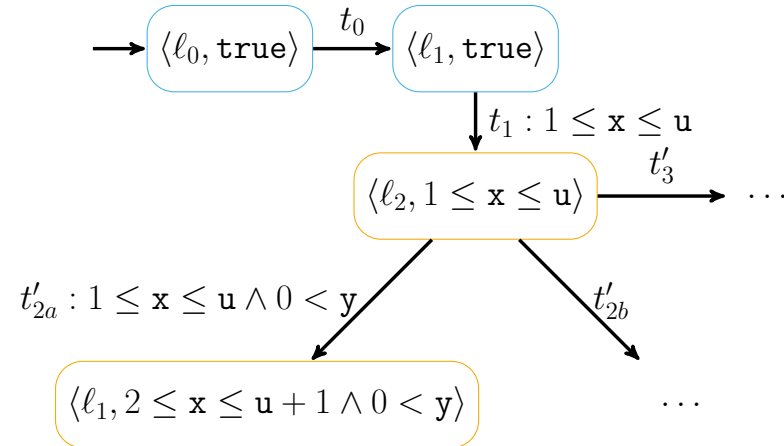
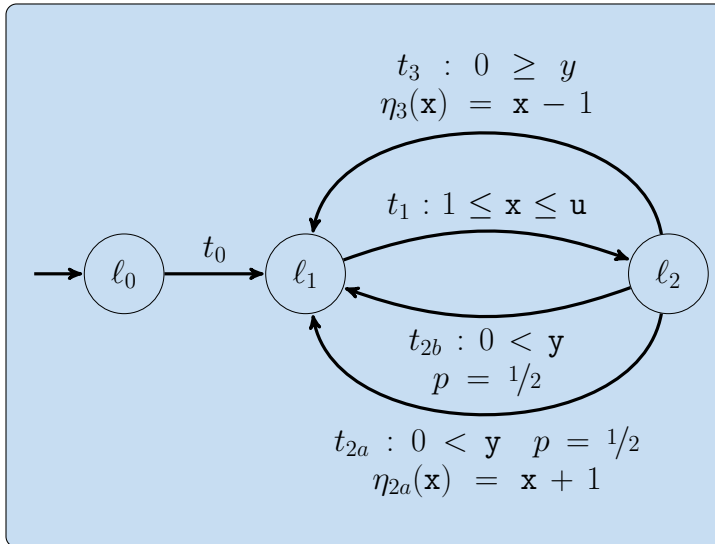
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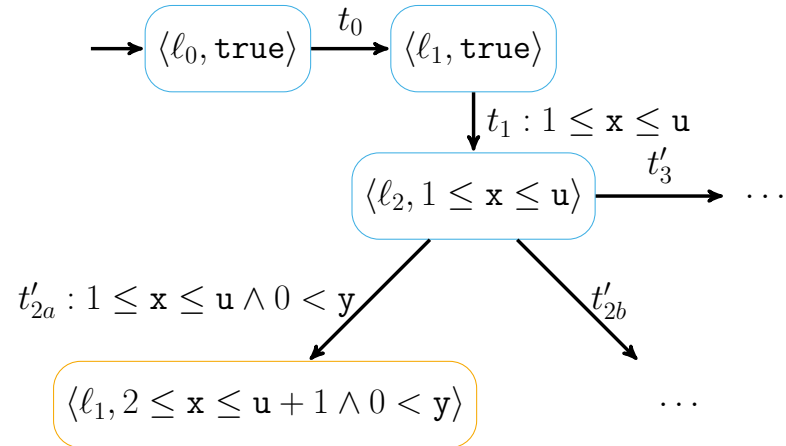
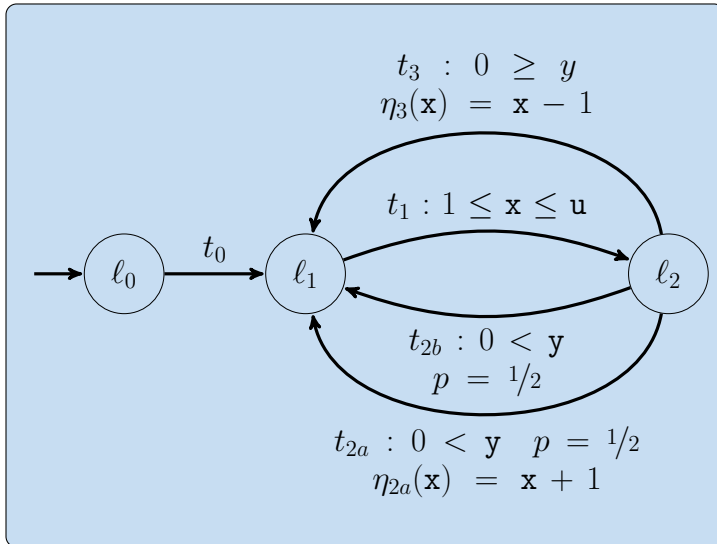
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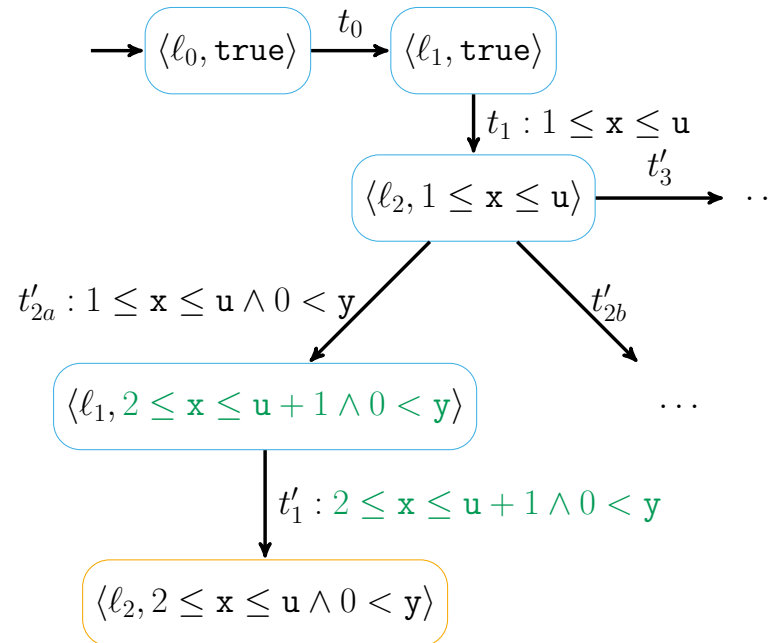
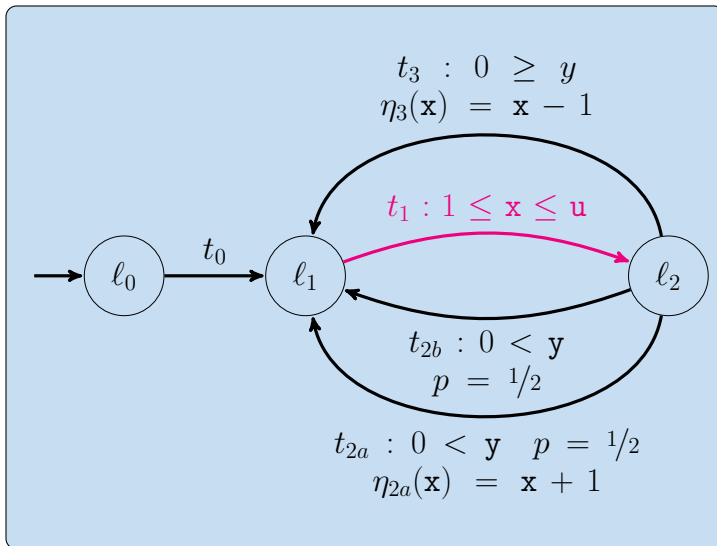
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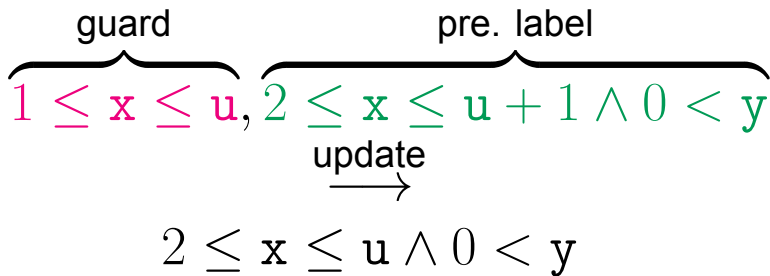


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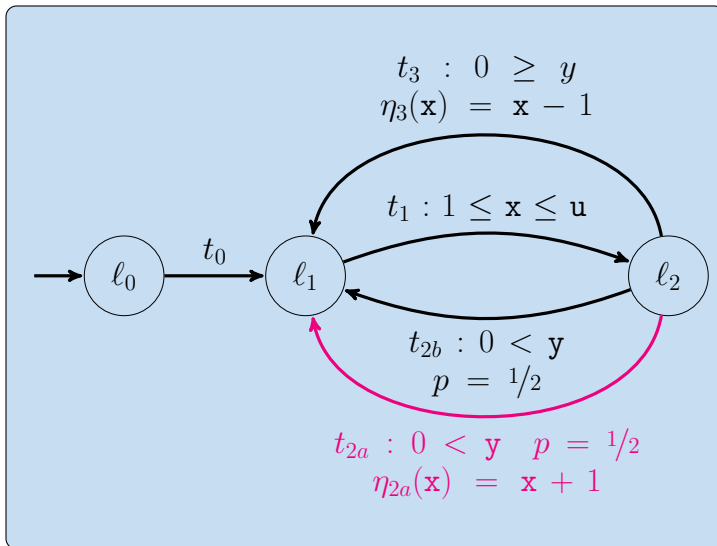


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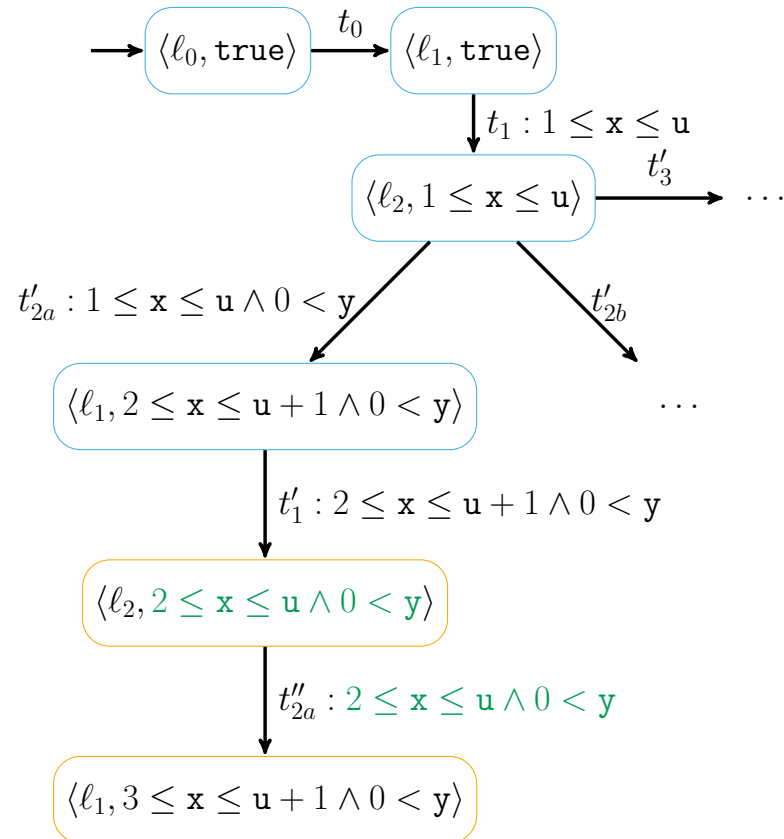
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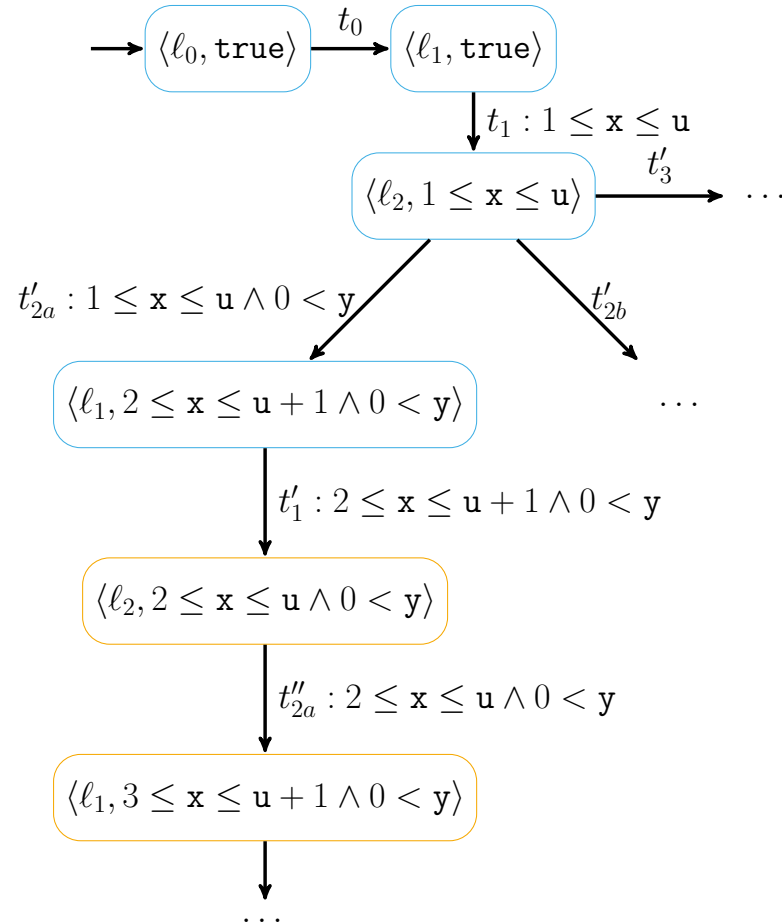
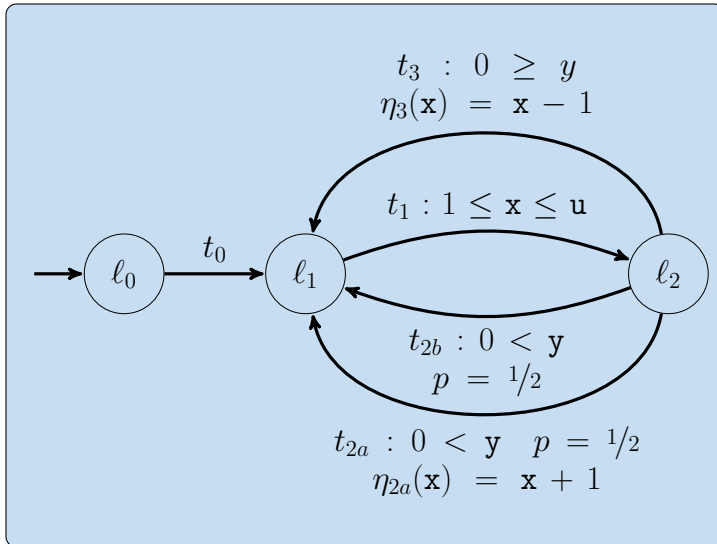
Apply $t_{2a} : 0 < y$:

$$\underbrace{0 < y}_{\text{guard}}, \underbrace{2 \leq x \leq u \wedge 0 < y}_{\text{pre. label}} \xrightarrow{\text{update}} 3 \leq x \leq u + 1 \wedge 0 < y$$



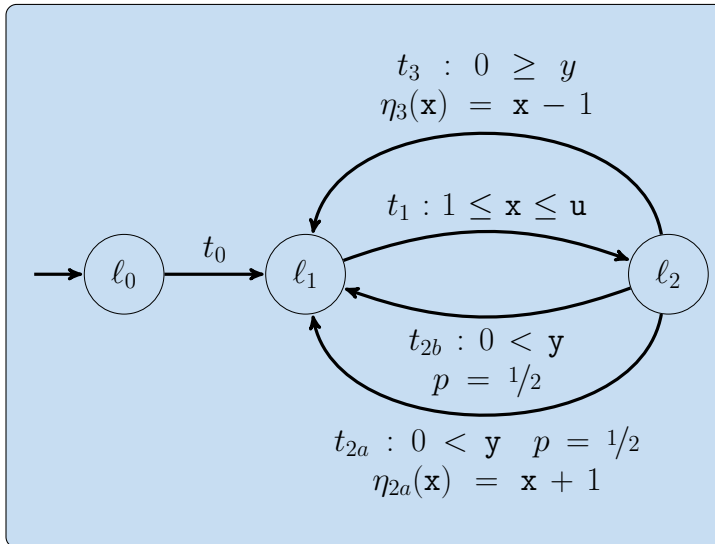
CFR via Partial Evaluation

Idea: Use **guards** and **updates** to generate refined locations



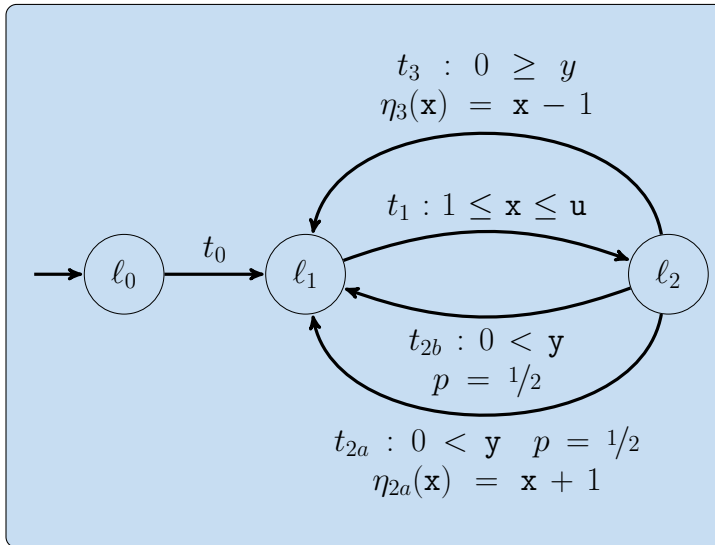
CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



CFR via Partial Evaluation – Abstraction Layer

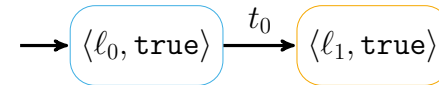
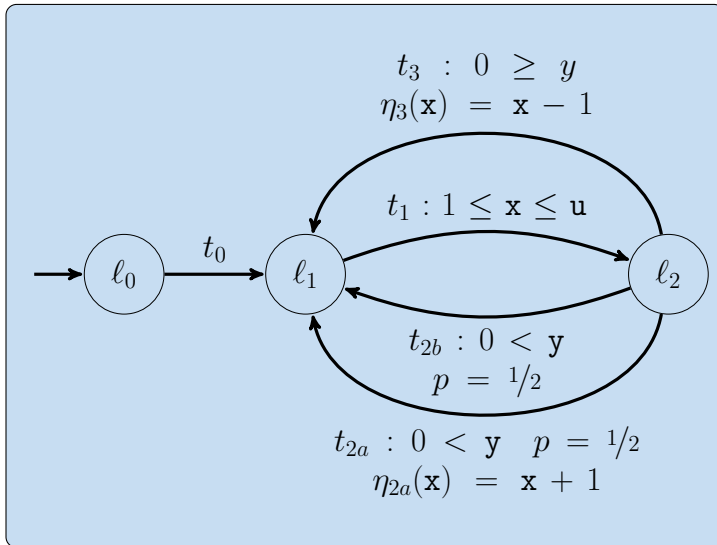
Idea: Use **guards** and **updates** to generate refined locations



► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

CFR via Partial Evaluation – Abstraction Layer

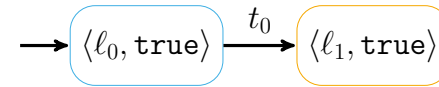
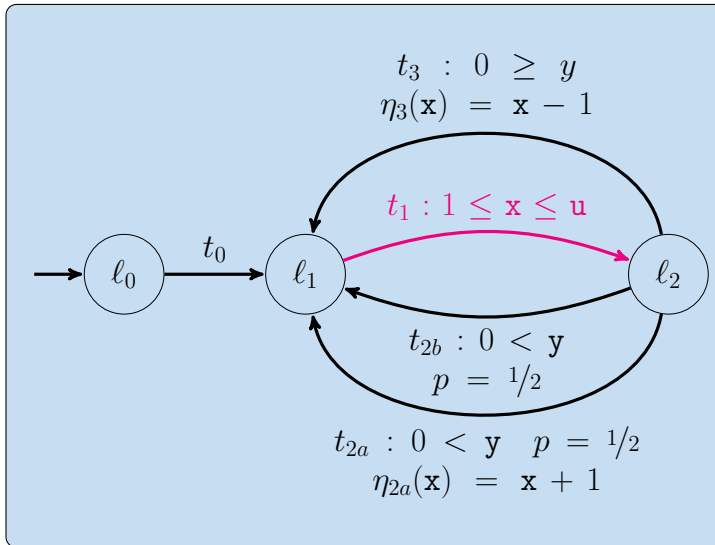
Idea: Use **guards** and **updates** to generate refined locations



► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations

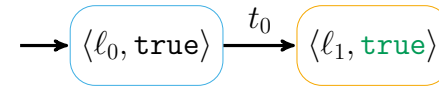
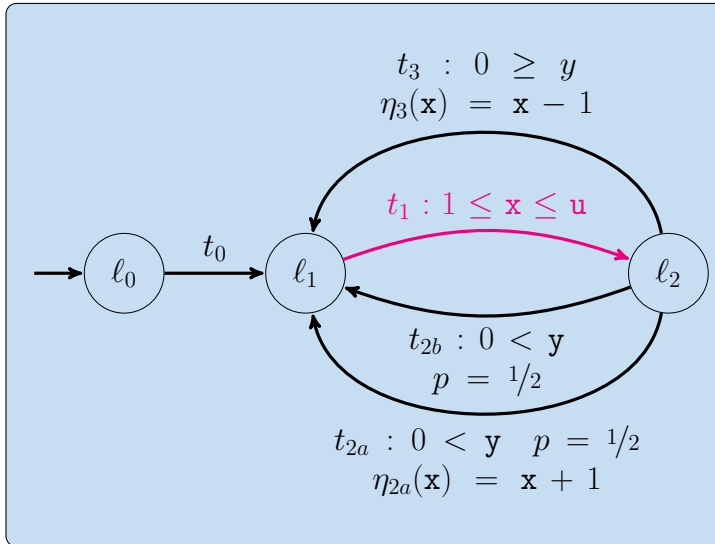


► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_1 :

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



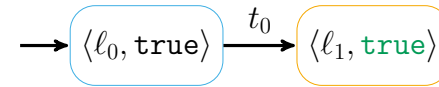
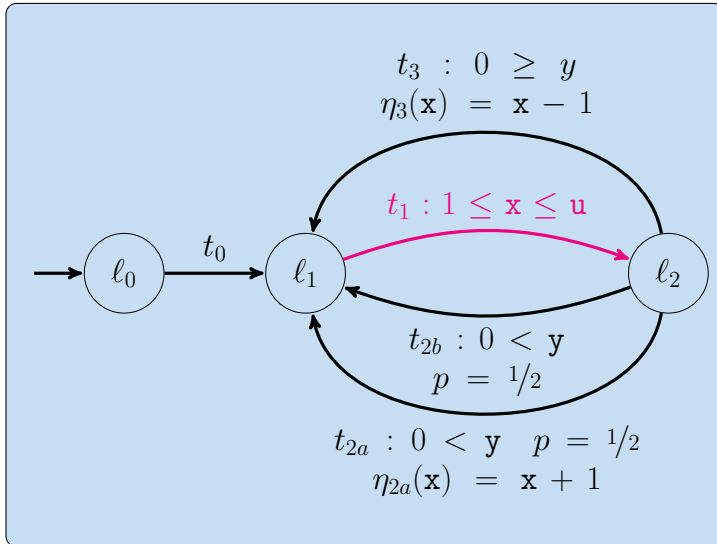
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_1 :

$$\underbrace{1 \leq \mathbf{x} \leq \mathbf{u}}_{\text{guard}}, \underbrace{\text{true}}_{\text{pre. label}} \xrightarrow{\text{update}} 1 \leq \mathbf{x} \leq \mathbf{u}$$

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



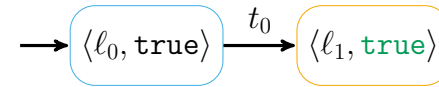
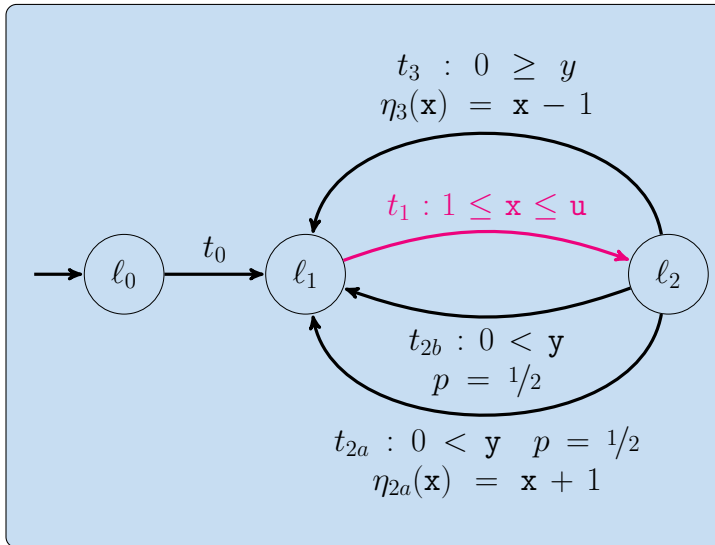
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_1 :

$$\underbrace{1 \leq x \leq u}_{\text{guard}}, \underbrace{\text{true}}_{\text{pre. label}} \xrightarrow{\text{update}} 1 \leq x \leq u \quad 1 \leq x \leq u \not\models 0 \geq y$$

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



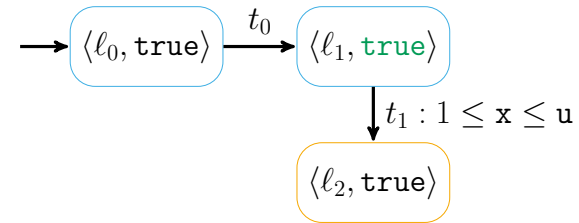
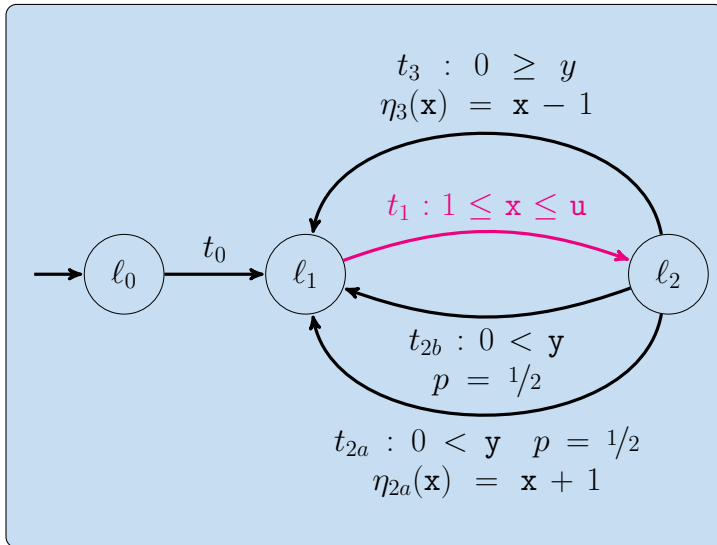
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_1 :

$$\underbrace{1 \leq x \leq u}_{\text{guard}}, \underbrace{\text{true}}_{\text{pre. label}} \xrightarrow{\text{update}} 1 \leq x \leq u \quad 1 \leq x \leq u \not\models 0 < y$$

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



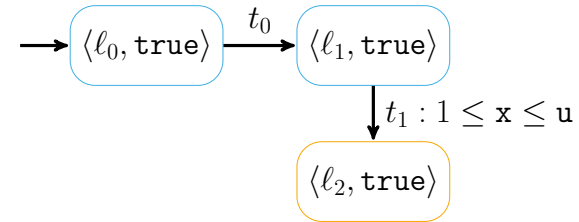
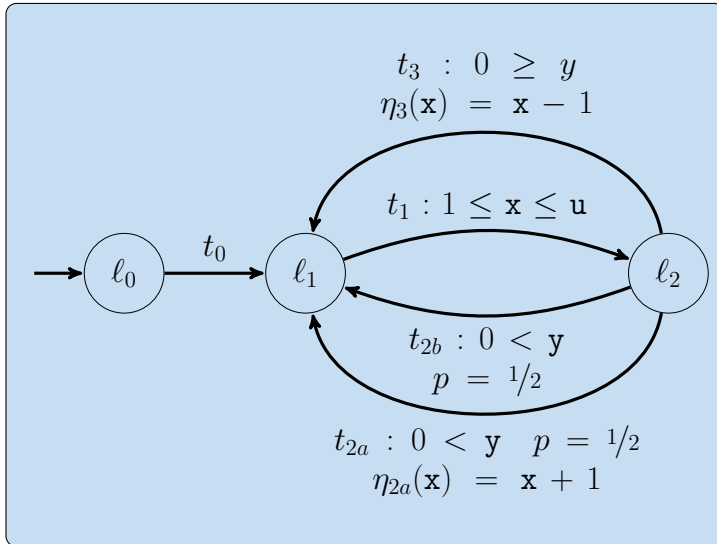
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_1 :

$$\underbrace{1 \leq x \leq u}_{\text{guard}}, \underbrace{\text{true}}_{\text{pre. label}} \xrightarrow{\text{update}} 1 \leq x \leq u \quad 1 \leq x \leq u \not\equiv 0 < y$$

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations

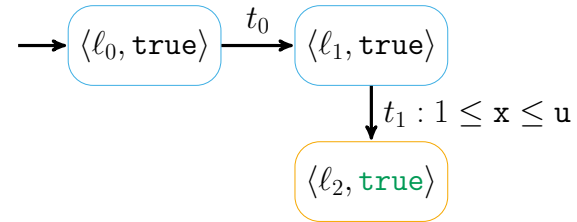
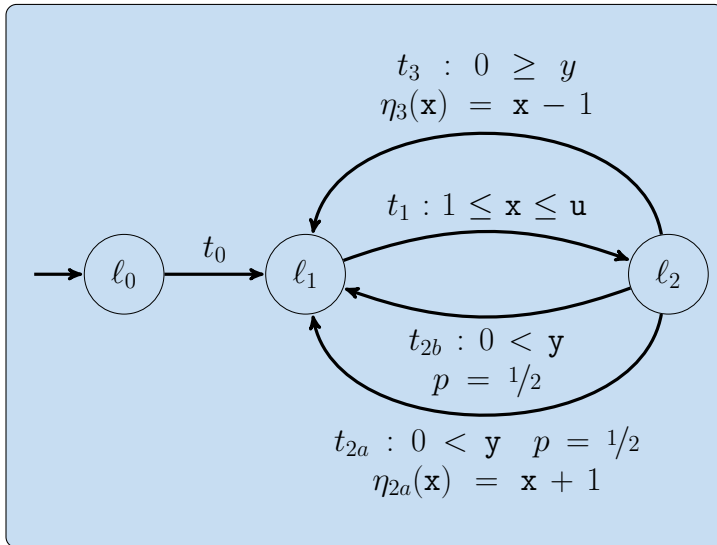


► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_{2a} :

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



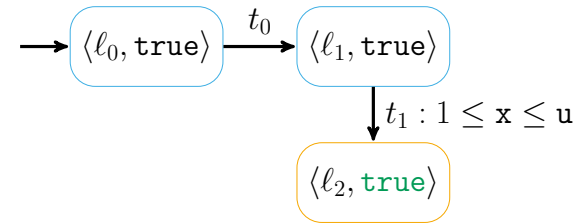
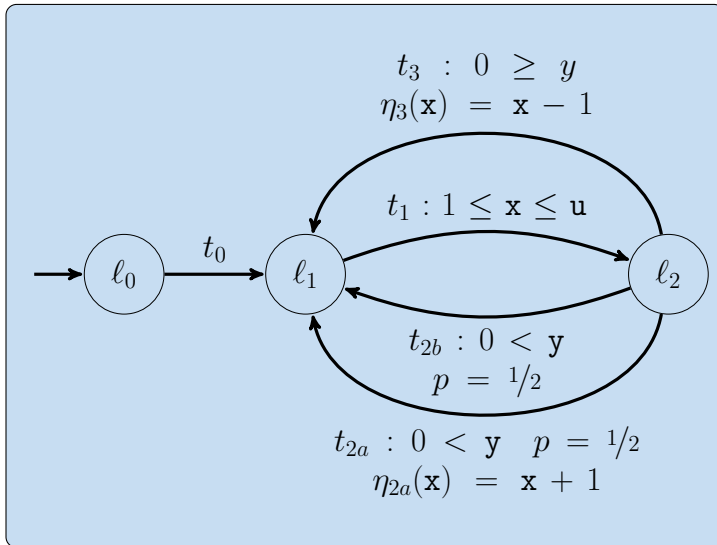
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_{2a} :

$$\underbrace{0 < y}_{\text{guard}}, \underbrace{\text{true}}_{\text{pre. label}} \xrightarrow{\text{update}} 0 < y$$

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



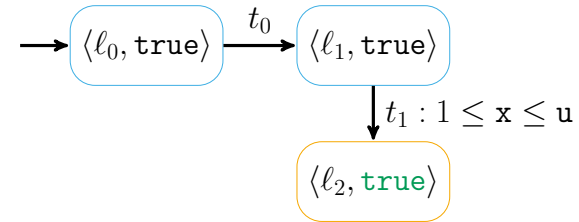
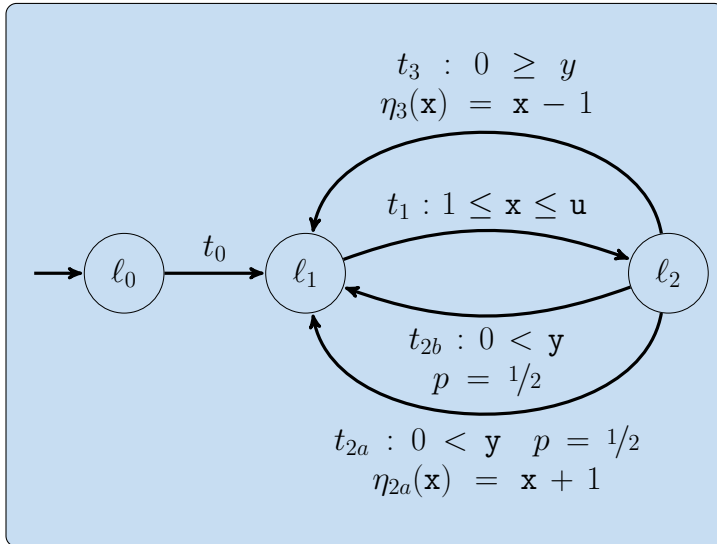
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_{2a} :

$$\underbrace{0 < y}_{\text{guard}}, \underbrace{\text{true}}_{\text{pre. label}} \xrightarrow{\text{update}} 0 < y \quad 0 < y \not\equiv 0 \geq y$$

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



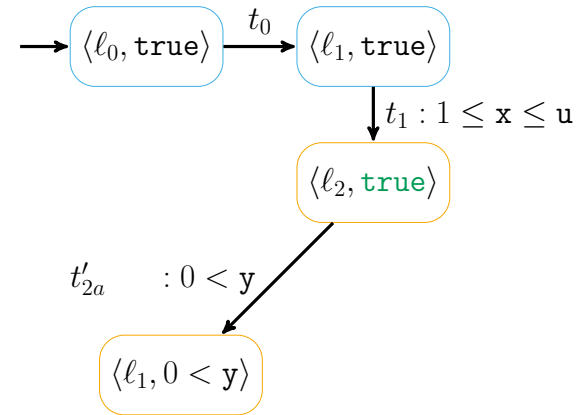
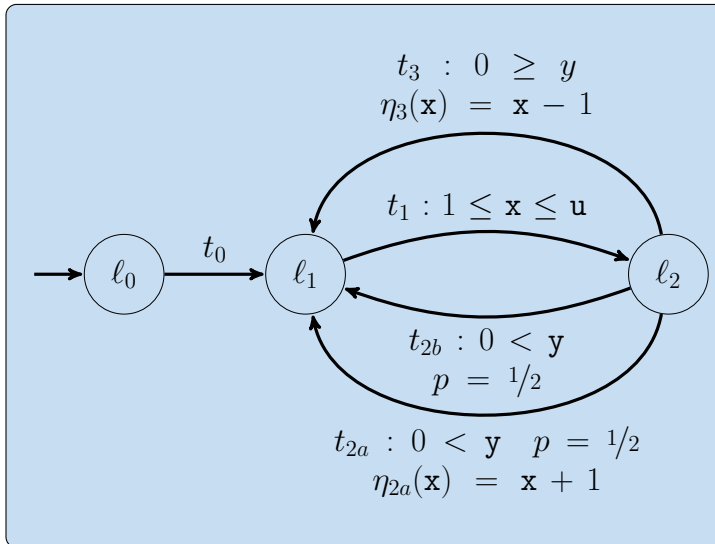
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_{2a} :

$$\underbrace{0 < y}_{\text{guard}}, \underbrace{\text{true}}_{\text{pre. label}} \xrightarrow{\text{update}} 0 < y \quad 0 < y \models 0 < y$$

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



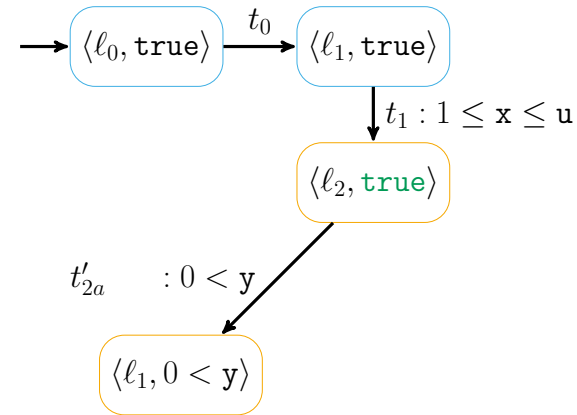
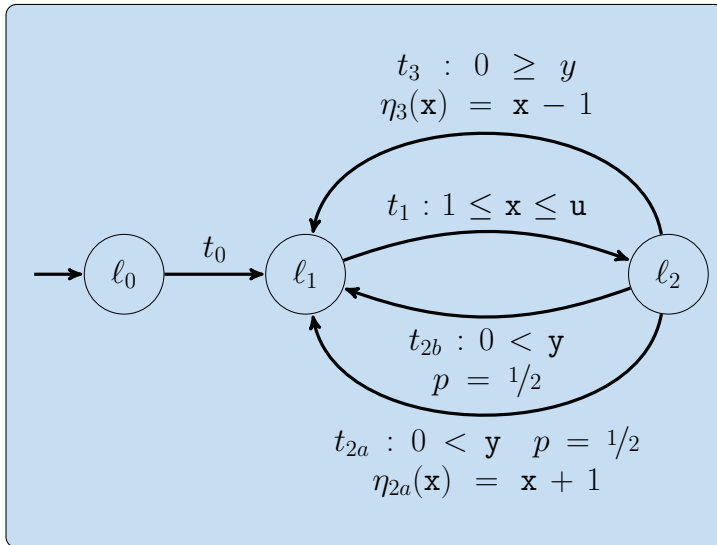
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_{2a} :

$$\underbrace{0 < y}_{\text{guard}}, \underbrace{\text{true}}_{\text{pre. label}} \xrightarrow{\text{update}} 0 < y \quad 0 < y \models 0 < y$$

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



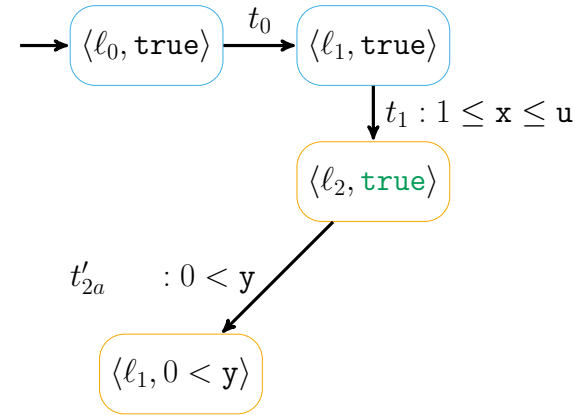
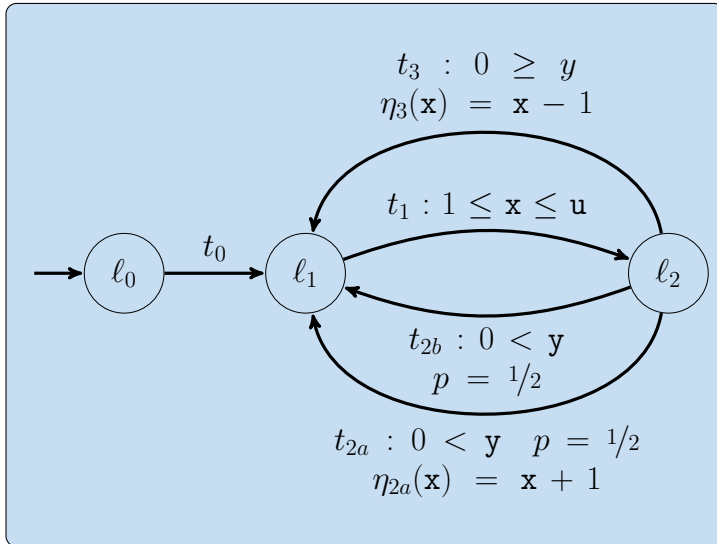
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_{2b} :

$$\underbrace{0 < y}_{\text{guard}}, \underbrace{\text{true}}_{\text{pre. label}} \xrightarrow{\text{update}} 0 < y \quad 0 < y \not\equiv 0 \geq y$$

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



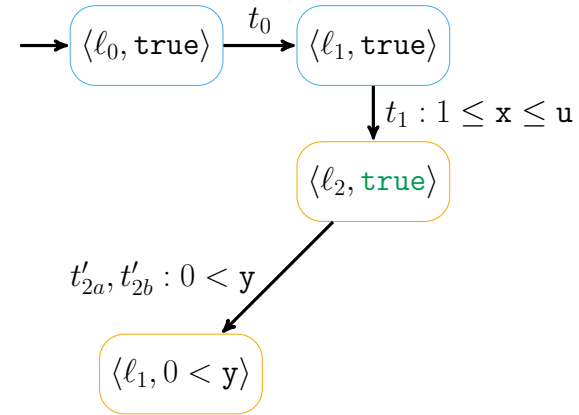
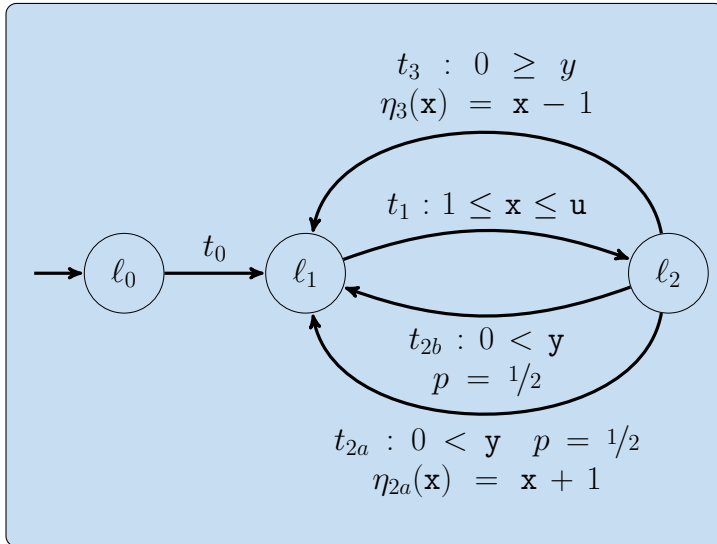
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_{2b} :

$$\underbrace{0 < y}_{\text{guard}}, \underbrace{\text{true}}_{\text{pre. label}} \xrightarrow{\text{update}} 0 < y \quad 0 < y \models 0 < y$$

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



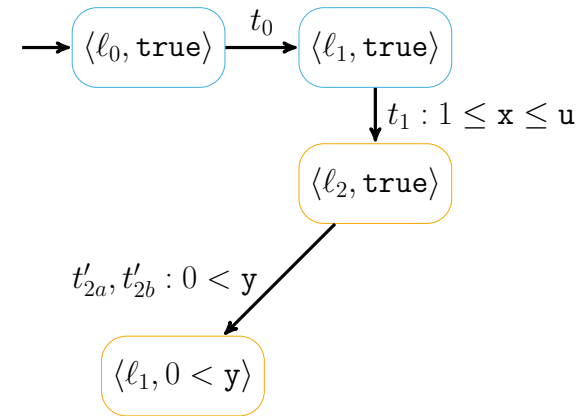
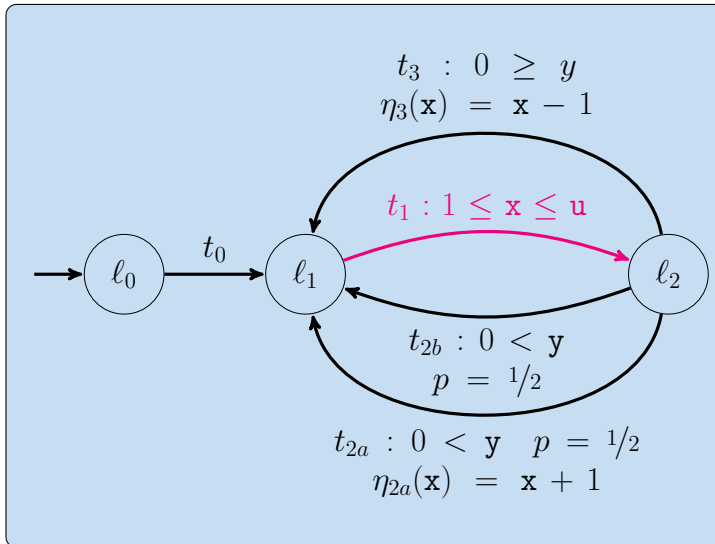
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_{2b} :

$$\underbrace{0 < y}_{\text{guard}}, \underbrace{\text{true}}_{\text{pre. label}} \xrightarrow{\text{update}} 0 < y \quad 0 < y \models 0 < y$$

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations

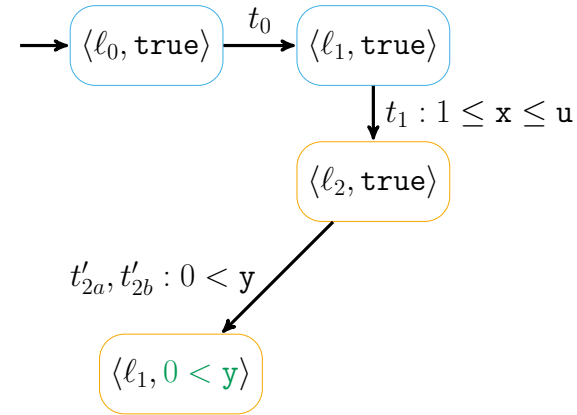
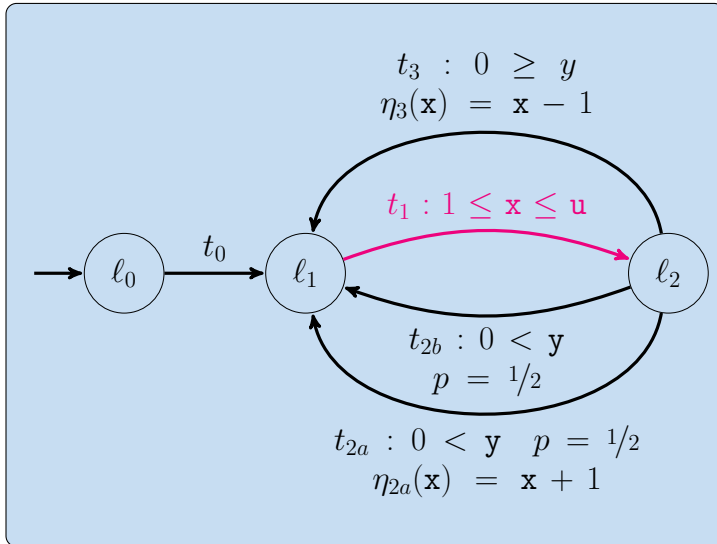


► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_1 :

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



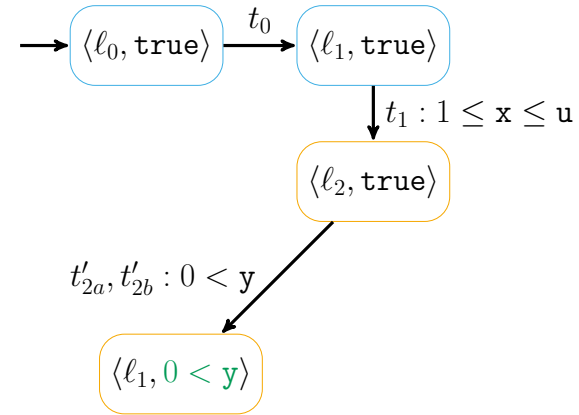
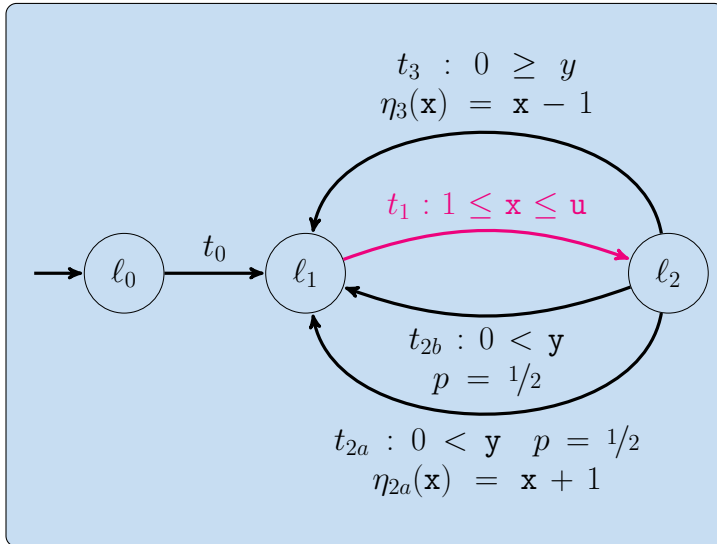
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_1 :

$$\underbrace{1 \leq x \leq u}_{\text{guard}}, \underbrace{0 < y}_{\text{pre. label}} \xrightarrow{\text{update}} 1 \leq x \leq u \wedge 0 < y$$

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



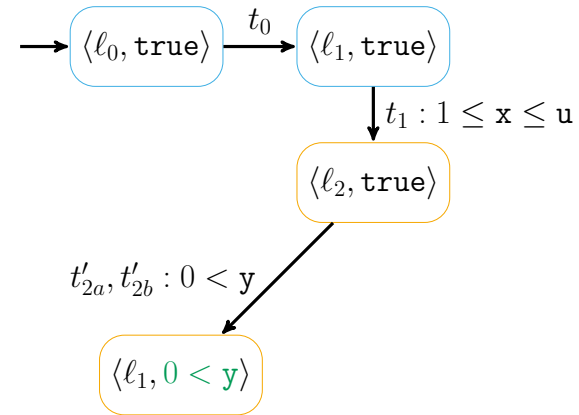
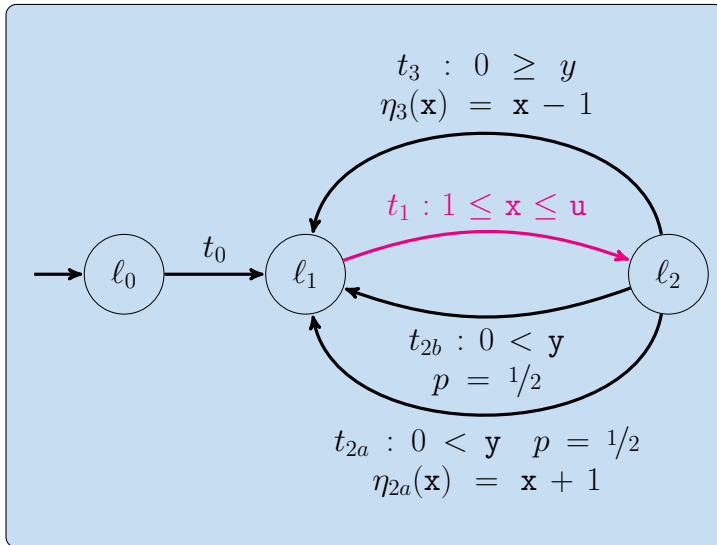
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_1 :

$$\underbrace{1 \leq x \leq u}_{\text{guard}}, \underbrace{0 < y}_{\text{pre. label}} \xrightarrow{\text{update}} 1 \leq x \leq u \wedge 0 < y \quad 1 \leq x \leq u \wedge 0 < y \not\equiv 0 \geq y$$

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



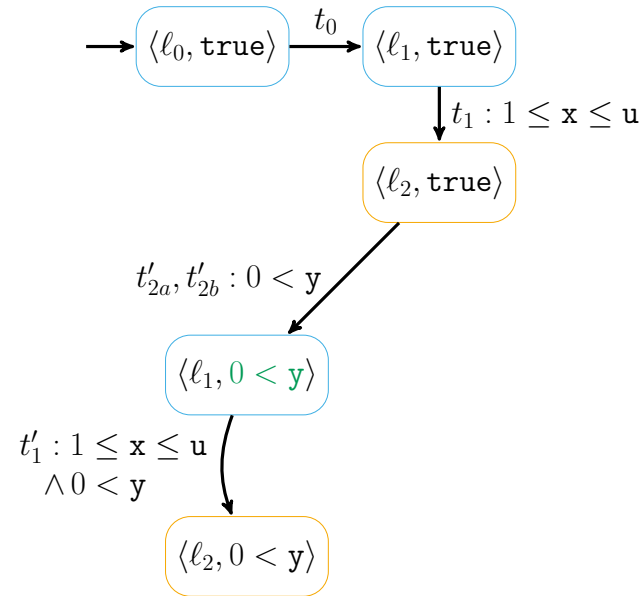
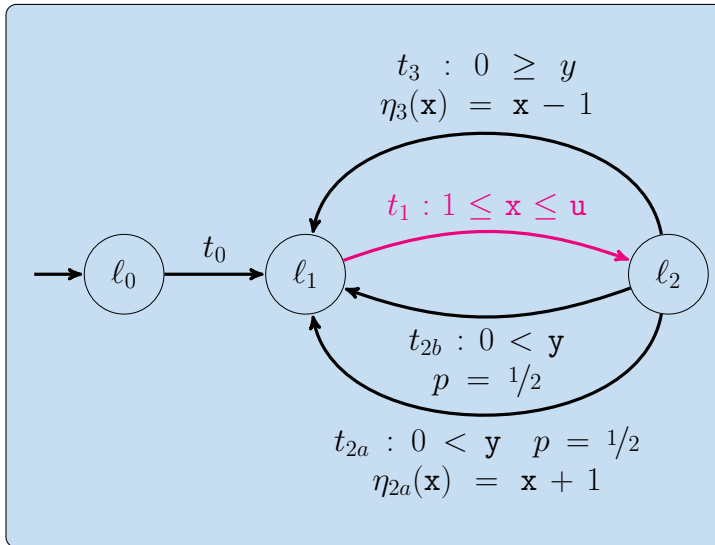
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_1 :

$$\underbrace{1 \leq x \leq u}_{\text{guard}}, \underbrace{0 < y}_{\text{pre. label}} \xrightarrow{\text{update}} 1 \leq x \leq u \wedge 0 < y \quad 1 \leq x \leq u \wedge 0 < y \models 0 < y$$

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



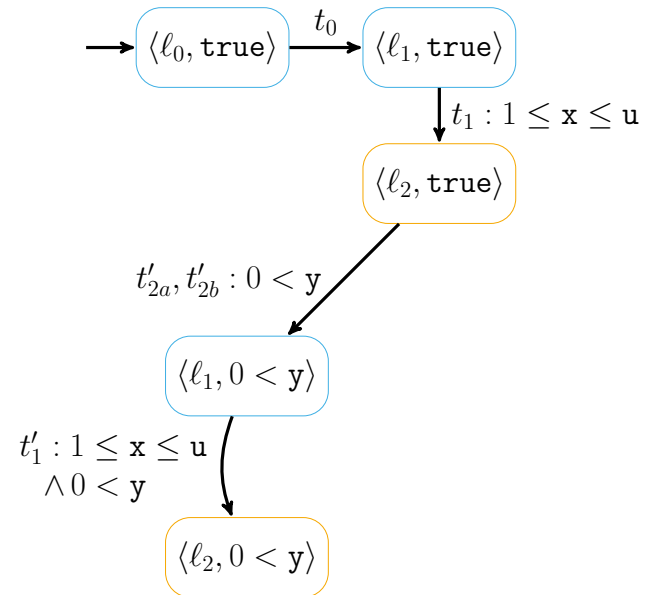
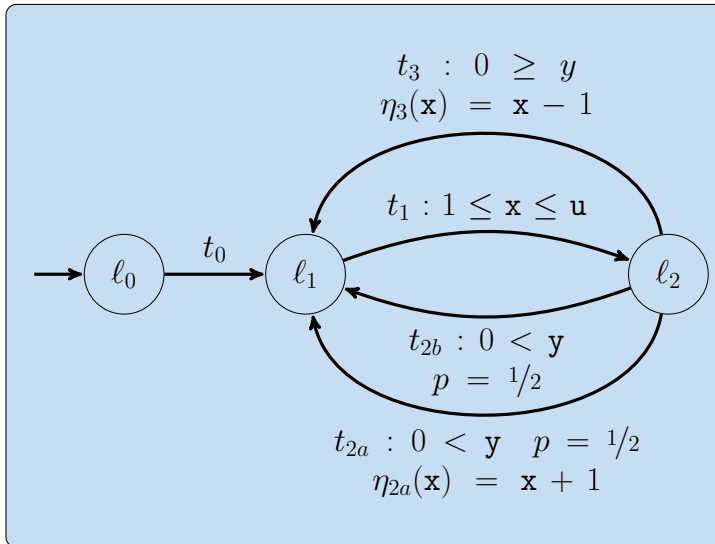
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_1 :

$$\underbrace{1 \leq x \leq u}_{\text{guard}}, \underbrace{0 < y}_{\text{pre. label}} \xrightarrow{\text{update}} 1 \leq x \leq u \wedge 0 < y \quad 1 \leq x \leq u \wedge 0 < y \models 0 < y$$

CFR via Partial Evaluation – Abstraction Layer

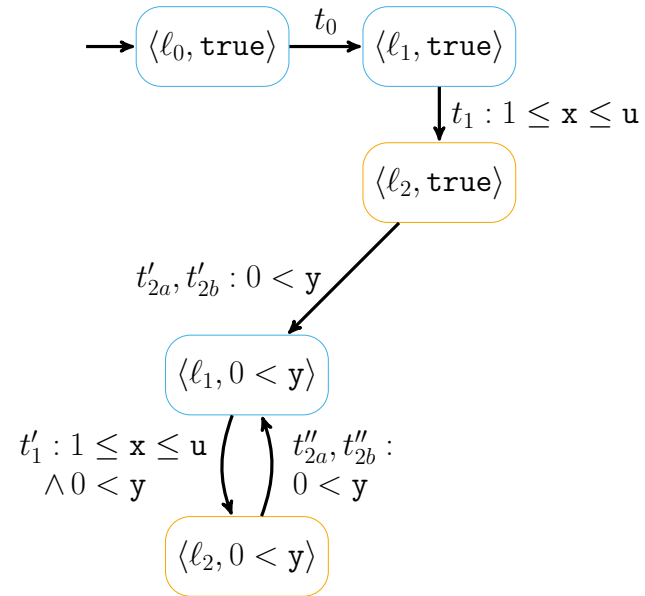
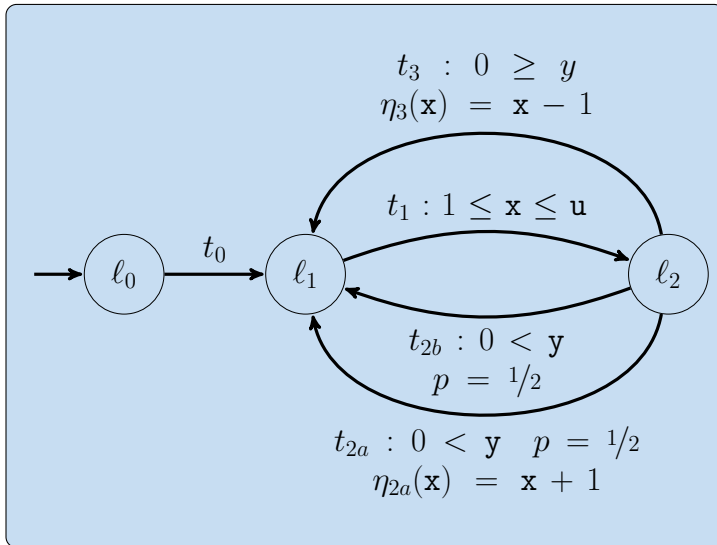
Idea: Use **guards** and **updates** to generate refined locations



► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

CFR via Partial Evaluation – Abstraction Layer

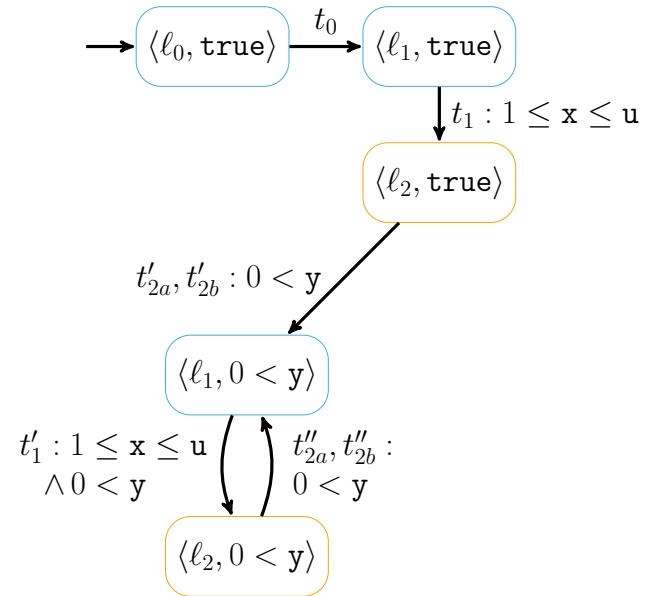
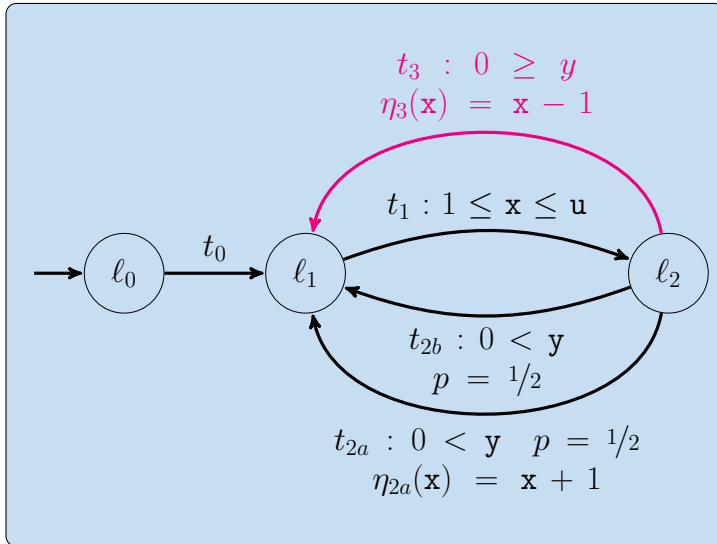
Idea: Use **guards** and **updates** to generate refined locations



► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations

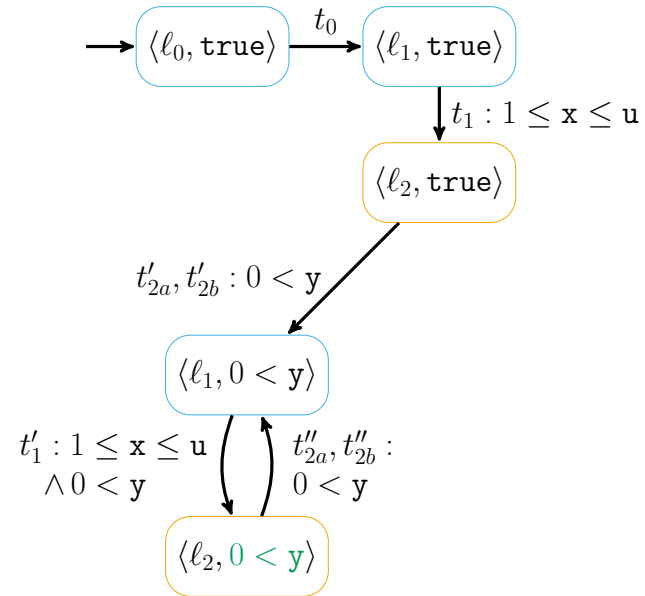
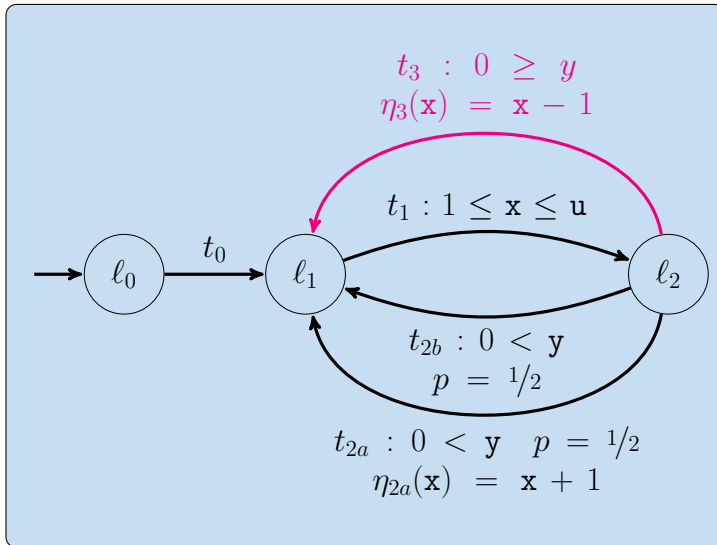


► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_3 :

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



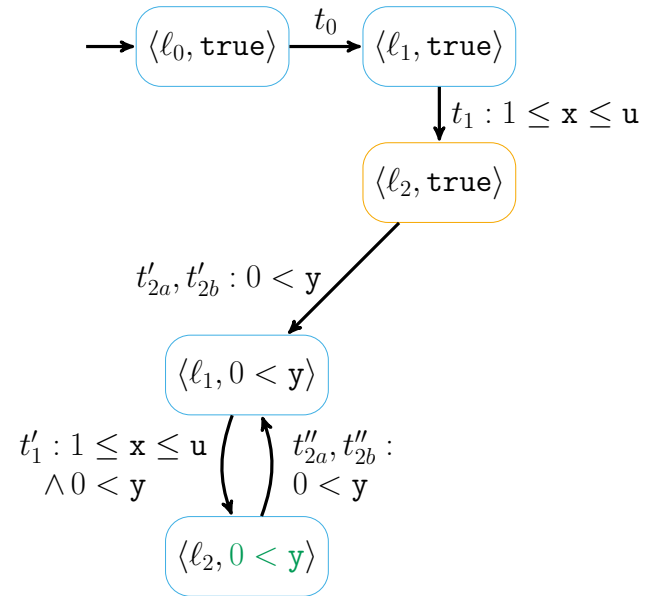
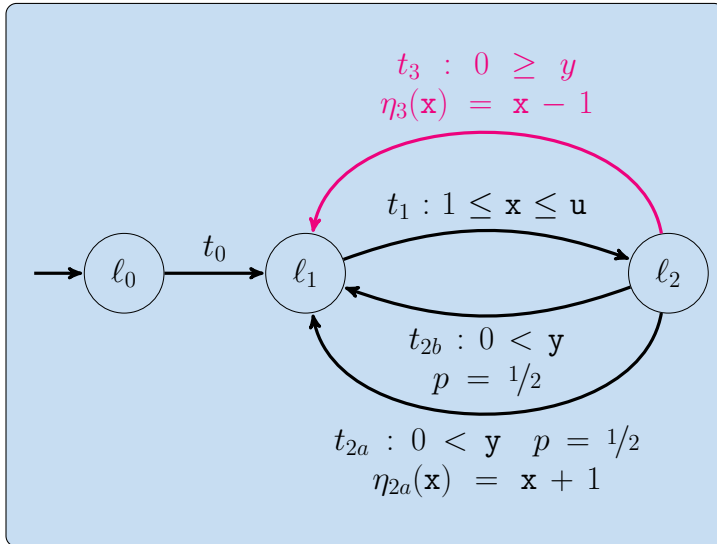
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_3 :

$\underbrace{0 \geq y}_{\text{guard}}, \underbrace{0 < y}_{\text{pre. label}} \xrightarrow{\text{update}} \text{false}$

CFR via Partial Evaluation – Abstraction Layer

Idea: Use **guards** and **updates** to generate refined locations



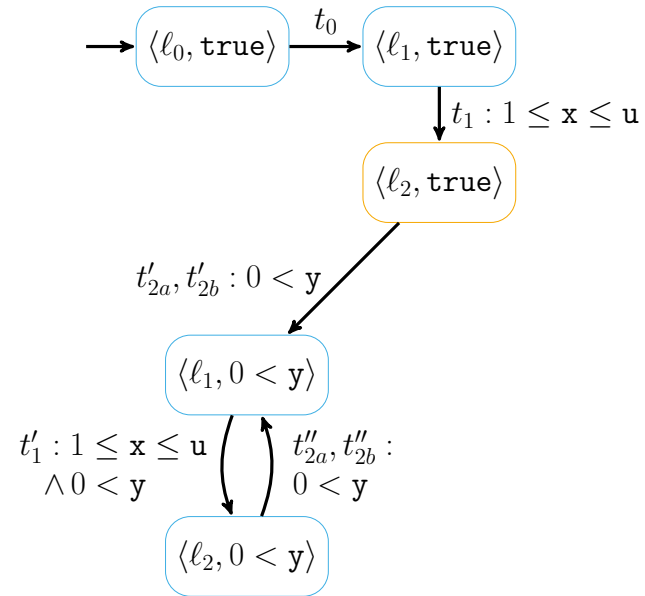
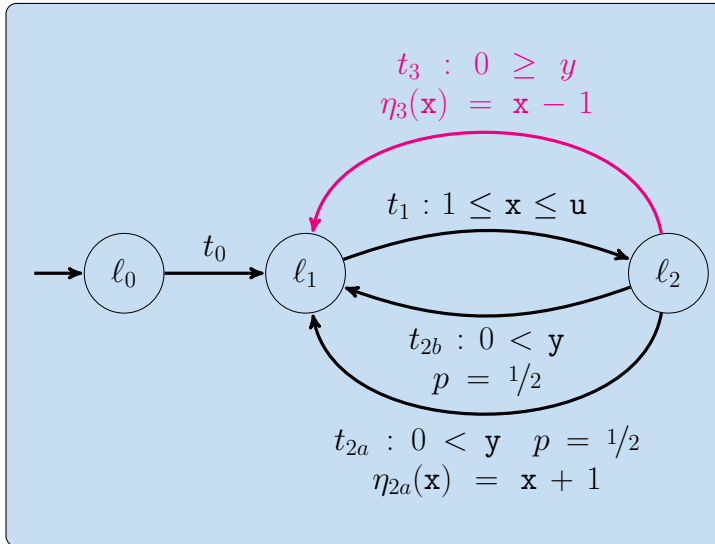
► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

Apply t_3 :

$\underbrace{0 \geq y}_{\text{guard}}, \underbrace{0 < y}_{\text{pre. label}} \xrightarrow{\text{update}} \text{false}$

CFR via Partial Evaluation – Abstraction Layer

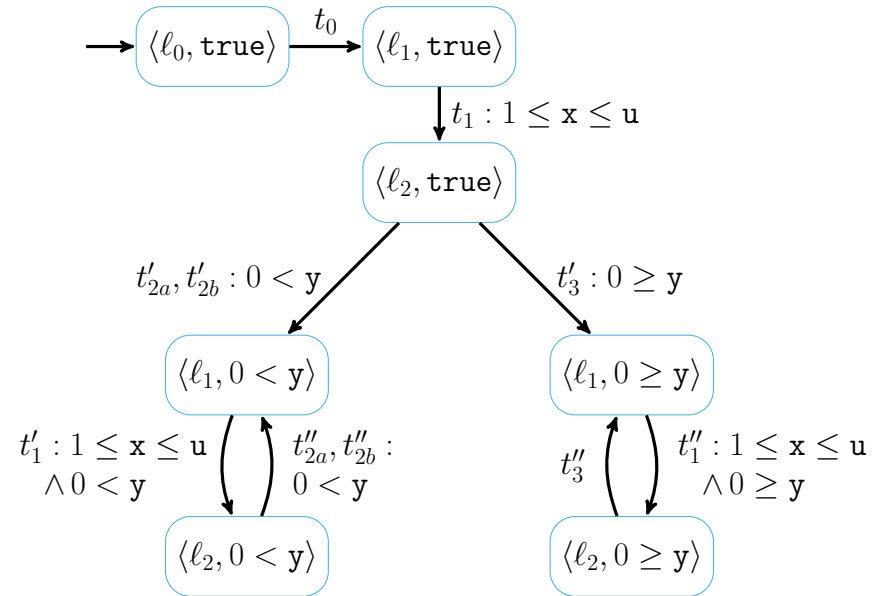
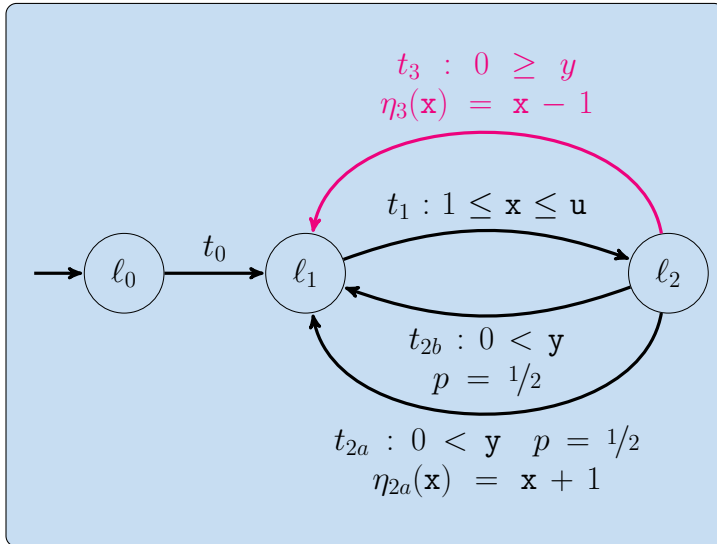
Idea: Use **guards** and **updates** to generate refined locations



► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

CFR via Partial Evaluation – Abstraction Layer

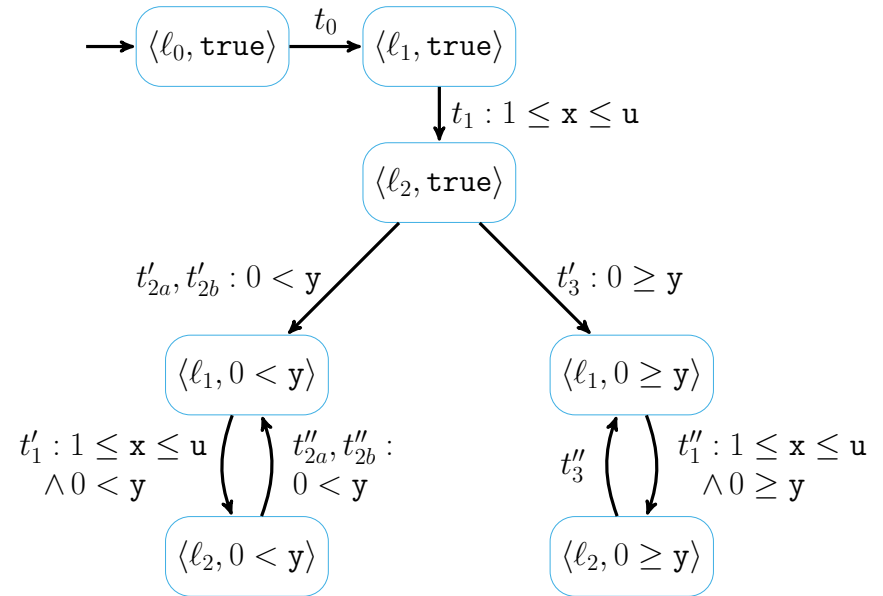
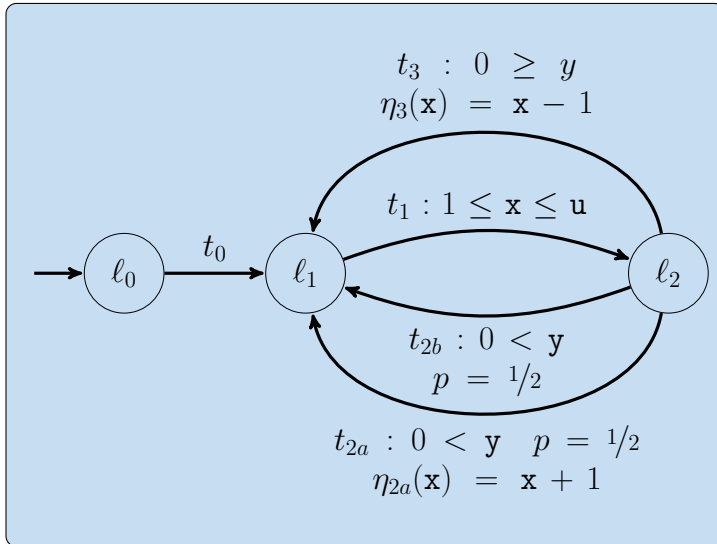
Idea: Use **guards** and **updates** to generate refined locations



► Abstraction Layer: $\Psi = \{0 \geq y, 0 < y\}$ [Doménech et al.]

CFR via Partial Evaluation – Abstraction Layer

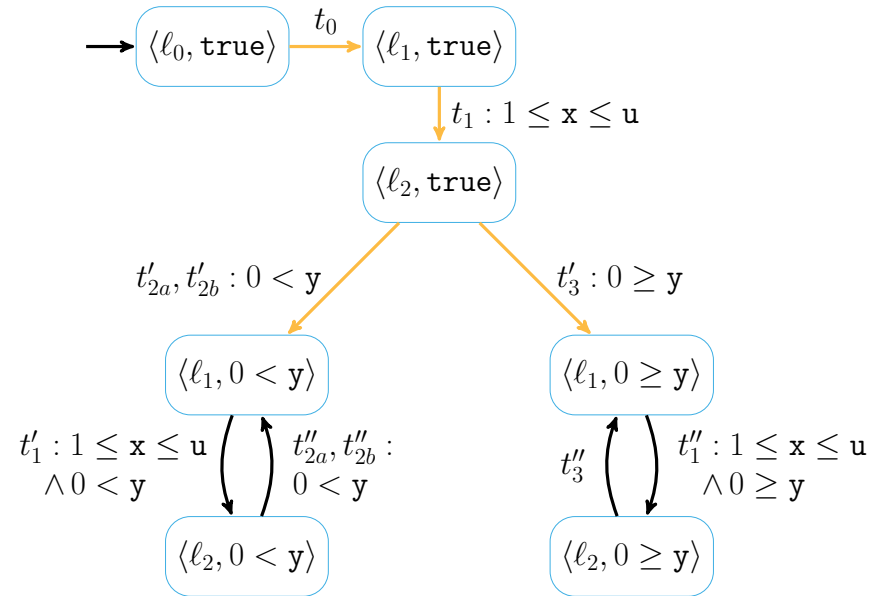
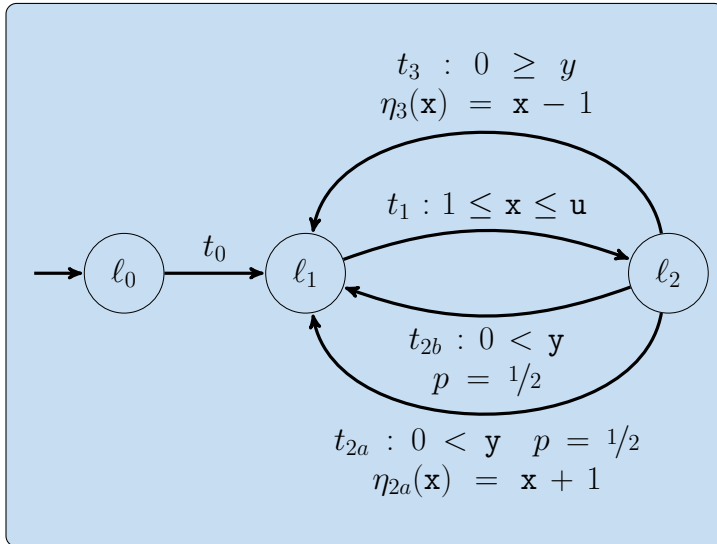
Idea: Use **guards** and **updates** to generate refined locations



► Analyze runtime complexity:

CFR via Partial Evaluation – Abstraction Layer

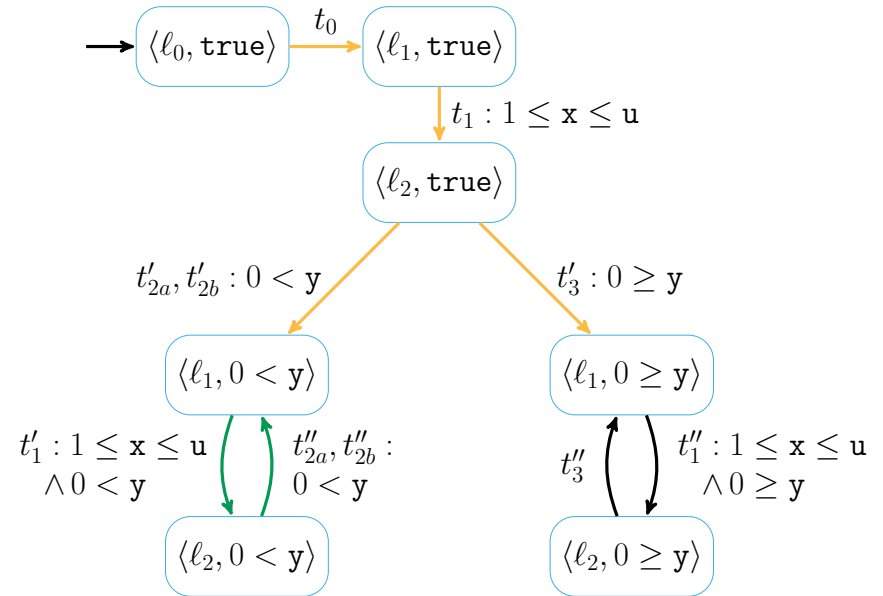
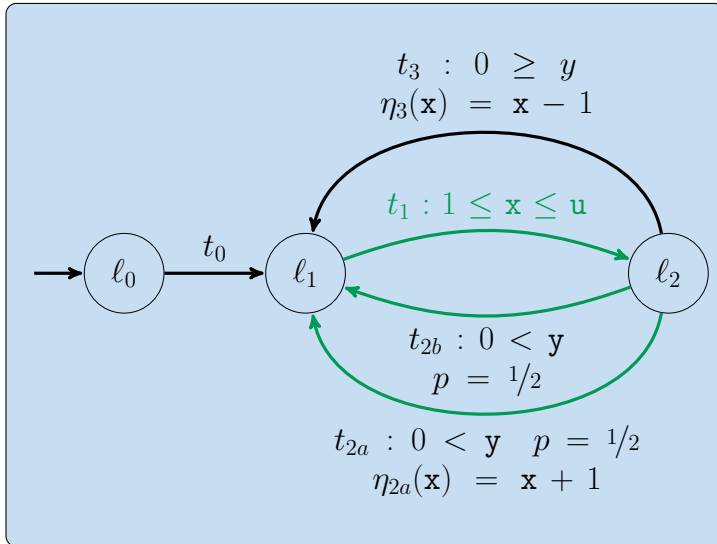
Idea: Use **guards** and **updates** to generate refined locations



- Analyze runtime complexity:
 - **non-cyclic** transitions: runtime bound 1

CFR via Partial Evaluation – Abstraction Layer

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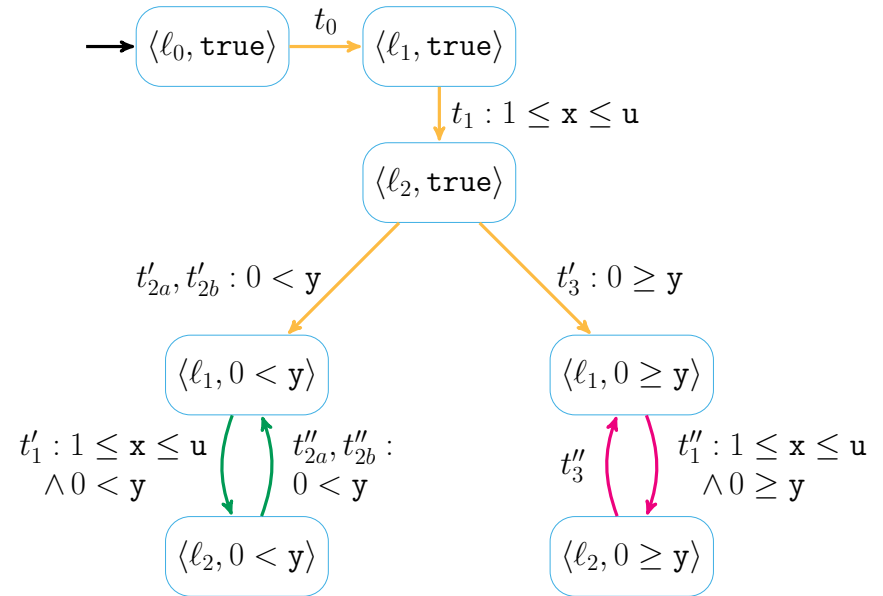
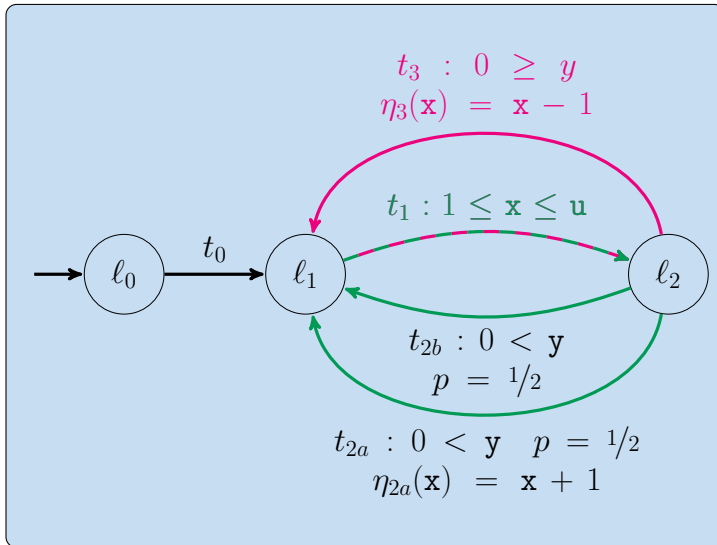


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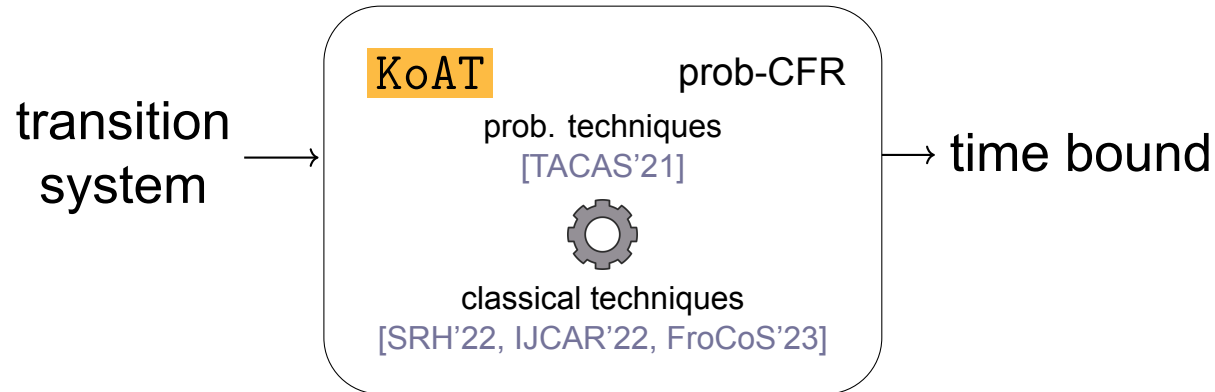
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- expected runtime of **original** program \geq expected runtime of **new** program
Idea: new non-determinism does not cause problems
(Markovian Scheduler vs. History-Dependent Markovian Scheduler)

Overview

Goal: Infer upper runtime bounds for (probabilistic) programs



Evaluation of our Implementation in KoAT

- ▶ Collected 90 benchmarks from the literature

	$\mathcal{O}(1)$	$\mathcal{O}(n)$	$\mathcal{O}(n^2)$	$\mathcal{O}(n^{>2})$	$\mathcal{O}(EXP)$	$< \infty$	AVG(s) ⁺
eco-imp	8	35	6	0	0	49	0.34
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- ▶ KoAT + CFR solves 93% of benchmarks.
- ▶ Implemented prob-CFR as an independent subsystem
 - ⇒ Maybe other tools profit from prob-CFR

Live-Demo

```
(GOAL EXPECTEDCOMPLEXITY)
(STARTTERM (FUNCTIONSYMBOLS 10))
(VAR X Y U)
(RULES
  10(X, Y, U) -> 11(X, Y, U)
  11(X, Y, U) -> 12(X, Y, U) :|: 1 <= X && X <= U
  12(X, Y, U) -> 0.5:11(X + 1, Y, U)
                :+: 0.5:11(X      , Y, U) :|: 0 < Y
  12(X, Y, U) -> 11(X - 1, Y, U) :|: 0 >= Y
)
```

<https://koat.verify.rwth-aachen.de>

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Analysis of Integer Programs

[Show Help for CRT Language \(in new window\)](#)

```
Enter Program Code Upload a File

(GOAL: COMPLEXITY)
(STARTSYM: (FUNCTIONSYMBOLS 10))
(VAR A B C D E)

(RULES
  10(A,B,C,D,E) -> 11(A,B,C,D,E)
  11(A,B,C,D,E) -> 12(A,A,E,D,E) 11: A = 0 && D = 0
  11(A,B,C,D,E) -> 12(A,A,E,D,E) 11: ? => 0 && D => 5
  12(A,B,C,D,E) -> 13(A,A,E,D,E) 11: A = 0
  13(A,B,C,D,E) -> 13(A,-2 * B, 3 + C - 2 * D^3, D,E) 11: B^2 + D^3 < C && B != 0
  13(A,B,C,D,E) -> 13(A, -1*B,C,D,E)
)

Reset Program Code

 Control Flow Refinement + TAIN + MSBF
 Control Flow Refinement + TAIN
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Thank You!



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  11(A,B,C,D,E) -> 12(A,A,E,D,E) 11: A > 0 66 D = 0
  12(A,B,C,D,E) -> 13(A,A,E,D,E) 11: ? => 0 66 D == 5
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